# Asymmetric Induction in an Enammonium-Iminium Rearrangement. Mechanistic Insight via NMR, Deuterium Labeling, and Reaction Rate Studies. Application to the Stereoselective Synthesis of Pyrroloisoquinoline Antidepressants<sup>1</sup>

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Abstract: Reductive deoxygenation of 5a, 5b, 7a, or 7b with borane-THF in trifluoroacetic acid yielded a product mixture (6a/6b or 4a/4b) highly biased (90-95%) in favor of the trans diastereomer (6b or 4b). The mechanism of this process was probed by NMR spectroscopy and the use of deuterium-labeled reagents and substrates. Thus, we eliminated several possible mechanisms and determined that the reaction proceeds via (1) dehydration of the substrate to an enammonium salt (11a/11b or 17a/17b) comprised mainly of the cis-fused diastereomer (11b or 17b), (2) prototropic rearrangement to an iminium salt (12a/12b or 18a/18b) highly enriched in the (6,10b)-trans isomer (12b or 18b), and (3) hydride transfer to the iminium carbon at the 5-position. In further support, a mixture of iminium species 12a and 12b (35:65) was isolated and characterized as a perchlorate salt. Also, the stereochemistry of the enammonium salts was proven by studies with methiodides 22a and 22b, the latter of which was characterized by single-crystal X-ray analysis. Three critical factors appear to govern the stereochemical outcome of the reductive deoxygenation: (1) the much greater thermodynamic stability of the cis-fused enammonium salt, (2) a highly stereocontrolled (at least 99% for the major cis-fused diastereomer), suprafacial proton transfer from N4 to C6, and (3) reduction of the iminium salts before they equilibrate. Protonation of enamine 14 with CF, CO<sub>2</sub>D occurred directly on N4 and the resultant enammonium salts (11a/11b), present in an 8:92 ratio, rearranged in the usual manner. In the enammonium-iminium rearrangement, we observed a considerable degree of intramolecular proton transfer, while some exchange with the medium was occurring. The reaction rates for rearrangement of enammonium salts from various acid-addition salts of 7a/7b in CF<sub>3</sub>CO<sub>2</sub>D were measured and found to increase by a factor of 75 in going from HClO<sub>4</sub> to CF<sub>3</sub>CO<sub>2</sub>H (pK<sub>a</sub> ranging from -10 to 0). The body of evidence suggests that the enammonium-iminium rearrangement takes place largely within a tight solvent cage, in which the proton or deuteron on nitrogen is conducted suprafacially from N4 to C6. This internal control is responsible for the >98% stereoselectivity of the rearrangement. The synthesis of 4b (McN-5652-Z) from 4-(methylthio)benzaldehyde and N-vinylpyrrolidin-2-one and an enantiospecific synthesis of (6S,10bR)-(+)-4b (McN-5652-X) are described in detail. A single-crystal X-ray analysis of 5a HBr showed the trans-fused ring geometry and a hydrogen-bond bridge involving the bromide atom, H<sub>0</sub>, and H<sub>N4</sub> (O-H. Br. H-N). On dissolution in CDCl<sub>3</sub>, 5a HBr rapidly converted to a mixture of cisand trans-fused species.

Ambident conjugated systems involving a three-atom framework, X=Y-Z; can be protonated on two different terminal atoms to generate X=Y-Z-H or  $H-X-Y=Z^4$  The kinetic product mixture from this reaction can subsequently tautomerize by intramolecular or intermolecular proton transfer to achieve a thermodynamic product mixture.<sup>5</sup> Both of these processes have attracted considerable interest because of their fundamental importance to synthetic and mechanistic organic chemistry, as well as to structural theory.4.5

The enamine functional group, C==C--N:, represents a classical ambident system that has found wide application in synthetic organic chemistry.<sup>6</sup> Protonation of the commonly used tertiary enamines,  $C=C-NR_2$ , in solution phase occurs preferentially at the nitrogen site, in almost all cases, to yield enammonium salts,  $C=C-NR_2H^+$ , which are intrinsically unstable species that readily rearrange to the corresponding iminium salts, H-C-C=NR<sub>2</sub><sup>+,7</sup> This enammonium-iminium rearrangement can, of course, play an integral role in the hydrolysis of enamines, depending on reaction conditions.<sup>7b,d</sup> More interestingly, however, it can form the basis for effective asymmetric induction at a pro-stereogenic  $\beta$ -carbon under the influence of a stereogenic center elsewhere in the molecule, or of an external chiral agent. For example, protonolysis and deuteriolysis experiments on tertiary enamines of cycloalkanones have furnished hydrolysis products with varying levels of diastereoselectivity, some with impressive

magnitude.<sup>7d</sup> Enantioselective reactions have also been reported for the protonation/hydrolysis of enamines containing a chiral amine moiety, or of prochiral enamines by the agency of a chiral acid.<sup>7d</sup> To explain significant asymmetric induction, some researchers have implicated an enammonium-iminium rearrangement without dissociation to any free enamine, while others have invoked kinetic protonation of a liberated enamine;<sup>7d</sup> in any case, initial protonation of nitrogen has been accepted as the norm. Although this mechanistic issue has been addressed by the identification of enammonium<sup>8a,9a,b</sup> and iminium<sup>8,9a,b,e</sup> species, even

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Table I. Reductive Deoxygenation of Amino Alcohol Substrates

|           | CF3CO2H | reagent |          |
|-----------|---------|---------|----------|
| substrate |         | A       | products |

| substrate | reagent              | products/ratio      | remarks   |
|-----------|----------------------|---------------------|---|
| 5a HBr    | BH, THF              | 6a:6b/10:90         | 90% yield   |
| 5a HBr    | NaBH₄                | <b>6a:6b</b> /13:87 | ca. 90% yield   |
| 5a HBr    | NaBH <sub>3</sub> CN | 6a:6b/55:45         | ca. 90% yield   |
| 5a HBr    | Et <sub>3</sub> SiH  | ,                   | little <b>6a/6b</b> formed<br>after 24 h <sup>a</sup> |
| 5a HBr    | $H_2/Pt^b$           | <b>6a:6b</b> /40:60 | several contaminants<br>in product                    |
| 5b HBr    | BH <sub>1</sub> .THF | 6a:6b/7:93          | 97% yield   |
| 7a/7b·HCl | BH                   | <b>4a:4b</b> /6:94  | 90% yield   |
| 7a        | BH                   | <b>4a:4b</b> /5:95  | 90% yield   |
| 7b        | BH <sub>3</sub> THF  | <b>4a:4b</b> /5:95  | 90% yield   |

"Product was mainly enamine 14, presumably from basification of 11a/11b. <sup>b</sup>Reaction was performed at 23 °C. <sup>c</sup>Ratio of 7a:7b was 75:25.

within a stereochemical context,<sup>8,9c-e</sup> as well as by mechanistic studies.<sup>8a.9</sup> some lingering questions still exist.<sup>7d</sup>

We encountered a highly stereoselective enammonium-iminium rearrangement (eq 1) in a program for the synthesis of pyrrolo-isoquinolines of formula  $1.^{10-12}$  The biological activity of this series of potential antidepressant drugs, powerful inhibitors of the neuronal uptake of biogenic amines, resides largely in the trans diastereomers (viz. 2), and almost exclusively in a single enantiomeric set.<sup>11</sup> Consequently, we required a stereoselective route



to the trans isomer that would also be amenable to producing the desired enantiomer. A solution was discovered in the stereoselective deoxygenation of 6-hydroxy derivatives 3 with borane-THF in trifluoroacetic acid.<sup>10</sup> In our early mechanistic work on this



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Figure 1. Perspective drawing of the molecular structure of 5a HBr from a single-crystal X-ray analysis. Nonhydrogen atoms are represented by thermal ellipsoids of 50% probability, whereas the hydrogen atoms are just represented by small spheres.

process,<sup>10</sup> we identified the intermediates in this transformation, deduced the likely route for their formation, and proposed the origin of the stereoselectivity. Full details of our research, which has focused on the critical enammonium-iminium rearrangement step, are presented herein. Additionally, we have now investigated (1) the question of intramolecular vs intermolecular proton transfer in this rearrangement by deuterium-labeling experiments, (2) the effect of anion on the rate of rearrangement, and (3) direct enamine protonation. These results afford an intriguing insight not only into our rearrangement system and its extraordinary stereocontrol but also into enammonium-iminium rearrangements in general. Finally, we describe the overall synthetic sequence for diastereoselective synthesis of 4b (McN-5652-Z) and an enantiospecific synthesis of (6S,10bR)-(+)-4b (McN-5652-X).<sup>13</sup>

### **Results and Discussion**

The Deoxygenation Reaction. In seeking a stereoselective synthesis of *trans*-pyrrolo[2, 1-a] isoquinolines 2, we came to examine the acid-promoted hydride reduction of 6-hydroxy derivative 5a HBr, the stereochemistry of which was established unequivocally by X-ray analysis (Figure 1).<sup>14</sup> The results for various deoxygenation procedures<sup>15</sup> are displayed in Table I. Reaction of 5a HBr with borane-THF in trifluoroacetic acid at 0-5 °C afforded a mixture of 6a and 6b in good yield, with a desirable isomer ratio of 10:90. Deoxygenation of the diastereomeric salt, 5b-HBr, also gave a good yield of 6a and 6b in a 6:94 ratio, which demonstrates the stereoconvergence of this process. Analogously, reduction of amino alcohols 7a/7b, as individual free bases or as a 75:25 mixture of HCl salts,<sup>16</sup> produced 4a and 4b in approximately a 5:95 ratio (Table I). The stereoconvergence indicates

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Table II. H/D Isotope-Exchange Experiments with 5a 1. CFrCOrH(D) 5

| ia. | substrate |                  | 6h-6d | + | 68 | + | 6b |
|-----|-----------|------------------|-------|---|----|---|----|
| -   | Substrate | 2. BH3 THF, 0 °C |       |   | -  |   | •- |

|           |                                   | relative amount of products |    |    |
|-----------|-----------------------------------|-----------------------------|----|----|
| substrate | acid                              | <b>6b</b> –6 <i>d</i>       | 6a | 6b |
| 5a HBr    | CF <sub>3</sub> CO <sub>2</sub> D | 30                          | 10 | 60 |
| 5a        | CF <sub>3</sub> CO <sub>2</sub> D | 80                          | 15 | 5  |
| 5a-DCl    | CF <sub>3</sub> CO <sub>2</sub> H | 25                          | 10 | 65 |

that the reaction proceeds through a common intermediate, and at the outset, we naturally surmised dissociation of the protonated hydroxyl to a diarylcarbocation followed by direct hydride addition to C6.15.17



Appropriate experiments were performed to test for this and alternative mechanisms.<sup>10</sup> Treatment of 5a·HBr with BD<sub>3</sub>·THF in trifluoroacetic acid at 5 °C resulted in a high yield of C5 monodeuterated products, 8a and 8b, in a 9:91 ratio. In these compounds, the deuterium was introduced predominantly (>90%) anti to the phenyl substituent on C6. Since there was no incorporation of deuterium into the C6 position, the obvious direct substitution mechanism is not operative. Also, this labeling result excludes a mechanism involving dehydration with a loss of H10b to give an o-quinone dimethide intermediate, 9, en route to iminium salt 10 (eq 2),<sup>18</sup> which would capture deuterium at C10b.

$$SB+HBT \xrightarrow{-H_2O} \left[ \underbrace{\bigcirc}_{N_1^+}^{Ph} \right] \xrightarrow{-H} \underbrace{\bigcirc}_{N_1^+}^{Ph} (2)$$

The failure to observe 10<sup>11a</sup> in later NMR experiments and the obtainment of complete retention of configuration at C10b in reductive deoxygenation of a mixture of (6R,10bR)-7a and (6S,10bR)-7b (98% ee) also ruled out this type of pathway (details appear below).

At this juncture, we envisioned two other mechanistic possibilities. The first was dehydration of 5a HBr by an E1 elimination to yield enammonium salts 11a/11b, in which the proton on nitrogen is already fixed in a particular stereochemistry. This could rearrange to iminium salts 12a/12b (eq 1), which would then receive hydride or deuteride at C5. Secondly, dissociation of the protonated OH in 5a HBr could lead to a transient car-



bocation with predetermined nitrogen stereochemistry (13), which

could undergo a 1,2-hydride shift (from C5 to C6) to give iminium salts 12a/12b. Another aspect of 13 is an aziridinium species arising from N-deprotonation of 13 and formation of a bond between N4 and C6 (eq 3). However, we have not detected any



products from alternative cleavage of such an aziridinium species (eq 3), by isolation or proton NMR (vide infra). Thus, we view an aziridinium intermediate as being chemically equivalent to 13 under the strongly solvolytic conditions in trifluoroacetic acid.

To differentiate between the two remaining mechanistic options, at least preliminarily, we probed the origin of the proton on C6 in products 6a and 6b by reducing  $5a \cdot HBr$  and 5a (free base) with borane-THF in CF<sub>3</sub>CO<sub>2</sub>D and  $5a \cdot DCI$  with borane-THF in CF<sub>3</sub>CO<sub>2</sub>H (Table II). In the experiment with **5a** HBr, only a minor amount of the 6-deuterated product was obtained, indicating the possibility of a 1,2-hydride shift, or a considerable intramolecular component to the proton migration from nitrogen to C6 in an enammonium-iminium rearrangement. Since exchange of the N-H with deuterium in the acid medium may very well compete with this N4-C6 proton transfer, the ca. 67% (for 6b:  $60/90 \times 100\%$ ) of intramolecularity represents a lower limit. Free base 5a gave the 6-deuterated product almost exclusively (ca. 95%), and the small amount of 6b present could be attributed to the proton from the eliminated OH group. Given the failure of a proton on C5 to find its way to C6 in this experiment, the enammonium-iminium mechanism is strongly supported. With 5a DCl, the 6-deuterated compound was present to a significant degree (ca. 28%), again reflecting some intramolecular proton migration in an enammonium-iminium mechanism. The diminished level of intramolecular transfer with 5a.DCl is a reasonable consequence of a primary isotope effect, whereupon intermolecular proton exchange is more competitive. Considering the abundant extramolecular pool of acid available for protonation or deuteration, and the potential for exchange of N-H or N-D with its counterpart in the medium prior to the transfer step, an intramolecular component of 65%, or even 30%, is mechanistically significant. This issue will be discussed further in the next subsection.

We were able to prepare and isolate enamine 14 as a light yellow oil by combining 5a HBr with polyphosphoric acid and then basifying the mixture. This exceedingly air-sensitive substance (instantly turned purple and decomposed on exposure to air) was dissolved in trifluoroacetic acid at 0 °C and reduced with borane-THF (0 °C) to give a 12:88 mixture of 6a:6b. Surprisingly, this high level of stereoselectivity closely approximates that obtained from direct deoxygenation of 5a HBr. Such a result would be consistent with stereoselective protonation of 14 to generate



a mixture of 11a and 11b, substantially enriched in the latter, and stereospecific rearrangement to a mixture of 12a and 12b, enriched in the latter (see next subsection); reduction would then yield the

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(18) The iodide salt of 10, prepared independently,<sup>11</sup> was reduced with sodium borohydride in methanol, hydrogen and platinum oxide, or borane-THF in trifluoroacetic acid with poor stereoselectivity (6a:6b = ca. 1:1).

observed products. When this reaction was performed at 23 °C, a **6a:6b** ratio of only 26:74 was realized. By contrast, the reductive deoxygenation of **5a**·HBr at 23 °C proceeded with acceptably high stereoselectivity (**6a:6b** = 9:91). Some erosion of stereocontrol did occur in the reductive deoxygenation of the **7a**/**7b** mixture around 25-30 °C with reactions that were scaled up to 10-50 g. A possible cause of this sensitivity in the larger reactions may be epimerization of the intermediate iminium ions due to poor heat dissipation in the reduction step.<sup>7d,8a,b</sup>

The Enammonium-Iminium Rearrangement. To elucidate the mechanism further, we sought to identify intermediates and monitor them during the reaction course. Thus, the fate of 5a and 5a HBr in CF<sub>3</sub>CO<sub>2</sub>H or CF<sub>3</sub>CO<sub>2</sub>D was studied by NMR spectroscopy. The 360-MHz <sup>1</sup>H NMR spectrum of 5a in CF<sub>3</sub>-CO<sub>2</sub>H at 0 °C revealed a mixture of two major and two minor substances. The predominant species were enammonium salt 11b, especially characterized by resonances at 6.1 ppm for H5 and 5.1 ppm for H10b, and iminium salt 12b, characterized by these signals:  $\delta(H5)$  8.9,  $\delta(H7)$  6.9,  $\delta(H6)$  5.1, and  $\delta(H10b)$  5.1 (11b:12b = ca. 25:75). The minor signals were attributed to the corresponding isomers 11a and 12a. The enammonium salt signals disappeared entirely after 2 h at 10 °C, and the signals for 12b and 12a ( $\delta(H5)$  9.0 and  $\delta(H7)$  7.1, respectively) persisted. The 12a:12b ratio of 7:93 (integration of H5) nicely reflected the high stereoselectivity observed in a standard reduction experiment. In fact, addition of borane-THF at this stage furnished 6a and 6b in a 9:91 ratio. With **5a** in CF<sub>3</sub>CO<sub>2</sub>D at 0 °C, there was an identical bias for enammonium salt 11b. At the outset, however, this solution contained ca. 50% unreacted 5a CF3CO2D, ca. 50% enammonium salt 11b, and just a trace of 12b. Over 2 h at 10 °C, 5a CF<sub>3</sub>CO<sub>2</sub>D and 11b disappeared to the benefit of iminium salts 12a and 12b (highly labeled at C6 with deuterium), present in a 5:95 ratio.

When we dissolved  $5a \cdot HBr$  in  $CF_3CO_2D$ , a very clean NMR spectrum for enammonium salt 11b was obtained; there was only a very small amount of 11a, assigned on the basis of two minor signals:  $\delta(H10b)$  4.7 and  $\delta(H5)$  6.6.<sup>19a</sup> Integration of the H5 resonances determined a 5:95 ratio for 11a:11b. Over 2 h at 20 °C, the signals for 11a and 11b slowly vanished, by about 25%, while the signals for 12a and 12b (5:95) grew by that amount. In this early phase of the rearrangement, the ratios for 11a:11b and 12a:12b were precisely the same. A comparison of the integrated area for the envelope at  $\delta$  5.1 (H10b of 11b; H6 and H10b of 12) with that for the doublet at 6.9 (H7 of 12) established a 75:25 H/D ratio at C6 in 12, which indicates a high degree of intramolecular 1,3-proton migration, as mentioned in the previous subsection. After 16 h at 20 °C, the H/D ratio at C6 of 12b was 37:63. The low level of proton incorporation at C6 is presumably due to H-D exchange with the medium at the iminium salt stage,<sup>19b</sup> as 12a and 12b have been exposed to epimerization conditions for a prolonged period of time (vide infra).<sup>7d,8a,b</sup>

Support for the overall mechanistic picture emerged from a dehydration-rearrangement experiment with labeled salt 15-HBr in CF<sub>3</sub>CO<sub>2</sub>D. The initial <sup>1</sup>H NMR spectrum at 0 °C exhibited signals for some unreacted starting material (ca. 10%) and **11a/11b** monodeuterated at C5 (measured by the signals for H10b because of the C5 deuteration). One should note that the signal ascribed to H5 in **11a** was absent from the spectrum, as expected. After 30 min at 0 °C, there was little rearrangement; however, rearrangement did occur slowly at 10 °C, to the extent of ca. 25% after 2 h (estimated by integration of H7 in **11b** and H1/H2 in **12b**). After ca. 16 h at 20 °C, the composition and deuterium content were evaluated by 55.3-MHz <sup>2</sup>H NMR. At this point, enammonium salt **11b** accounted for only 10% of the material (D on C5 at 6.1 ppm) and the H/D ratio at C6 of **12b** was ca. 20:80

(D on C5 at 8.9 ppm and D on C6 at 5.2 ppm).

The study with 15·HBr in CF<sub>3</sub>CO<sub>2</sub>D militates against the 1,2-hydride shift mechanism, which we also demoted in the previous subsection. The formation of 16a and 16b without



deuterium at C6 (<5%), in the reductive deoxygenation of 15-HBr in CF<sub>3</sub>CO<sub>2</sub>H corroborates this conclusion. However, in such experiments with the 5,5-dideutero compound, the actual 1,2deuteride shift is unfairly impeded by the primary isotope effect. Thus, we examined the reaction of 5a-OD·DBr in CF<sub>3</sub>CO<sub>2</sub>D, wherein a 1,2-hydride shift would cause a proton to be found at C6 in the final products. Since this did not happen, a hydride-shift mechanism can be disregarded. Also, there was no evidence from NMR studies for the presence of iminium ion 10, an aziridinium species derived from 13, or an iminium ion from possible reorganization of an intermediate aziridinium salt (see eq 3).

A 360-MHz <sup>1</sup>H NMR experiment on the 75:25 mixture of 7a/7b in CF<sub>3</sub>CO<sub>2</sub>D at ca. -10 °C, showed formation of enammonium salts 17a and 17b (H5 at 6.63 and 6.17 ppm) and subsequent slow conversion to a 6:94 mixture of iminium salts 18a and 18b (vinyl singlets at  $\delta$  9.07 and 8.92). This ratio of iminium salts was confirmed by borane-THF reduction of an aliquot. At 25 °C, the formation of 18a and 18b (6:94) was much more rapid, but over 90 h the ratio had shifted to 33:67, presumably because of a proton-exchange-based equilibration;<sup>7d,8a,b</sup> at 60 °C, a 60:40 ratio of 18a and 18b was ultimately attained in just 2 h. Deuterium incorporation into 18a/18b on contact with CF<sub>3</sub>CO<sub>2</sub>D supported the proton-exchange process.

Our attempts to isolate and characterize reaction intermediates in a conventional manner were hampered by the instability of the species involved. The enammonium salts readily rearranged to the iminium salts and the iminium salts not only epimerized but also oxidized to isoquinolinium salts (viz. 20 and 21). Diverse



attempts to isolate a mixture of iminium salts 12a and 12b, hopefully very enriched in 12b, afforded 20 and decomposition products, along with some stereoisomerized iminium salt. Similarly, attempts to isolate 18a and 18b always led to isoquinolinium salt 21. In one instance, we fortuitously isolated a clean perchlorate salt of 12a/12b (35:65), as a white solid contaminated by less than 2% of 20; the outstanding quality of this substance is evident from its <sup>1</sup>H NMR spectrum (Figure 2). The 35:65 ratio of 12a and 12b was confirmed by rapid reduction to a correspondent 37:63 mixture of 6a and 6b with NaBH<sub>4</sub> in ethanol or borane-THF in CF<sub>3</sub>CO<sub>2</sub>H, thereby ruling out stereoisomerization of a mixture highly enriched in 12b on its dissolution in CDCl<sub>3</sub> for the NMR measurement.

In an attempt to isolate the chloride salt of enammonium salts 11a and 11b, ethereal HCl was added to an ethereal solution of 14 under argon. The resultant solid was treated with CDCl<sub>3</sub>, but most of it did not dissolve (this material is 20-chloride). A <sup>1</sup>H NMR spectrum of the solution at 0 °C revealed a 3:1 mixture of 20 and enammonium chloride, almost completely as the cisfused form, 11b. Since no formation of iminium salts was observed after 4 h at 0 °C, the NMR sample was warmed to 24 °C and monitored. After several hours, no iminium species were seen, while the isoquinolinium salt increased somewhat. Even after addition of CD<sub>3</sub>OD, a "protic" solvent, just a slight amount of iminium salt formed on prolonged standing. In a subsequent experiment, a 25:75 mixture of 20 and enammonium chlorides

<sup>(19) (</sup>a) Maryanoff, B. E.; McComsey, D. F.; Inners, R. R.; Mutter, M. S.; Wooden, G. P.; Mayo, S. L.; Olofson, R. A. J. Am. Chem. Soc. 1989, 111, 2487. (b) We also observed H-D exchange at C5 arising from deuteron addition to C5 of the olefin unit in the enarmonium salts. This process was slow, amounting to 5% at 2 h and ca. 30% at 16 h. In the rate studies, use of H5 integral areas required normalization for this exchange (see Experimental Section). Details on this issue will be addressed in a separate paper.



Figure 2. 360-MHz <sup>1</sup>H NMR spectrum of a 35:65 mixture of 12a and 12b perchlorate.

was prepared by treating 14 in CDCl<sub>3</sub> with 1 equiv of 1 N HCl. With respect to the enammonium salt isomers, the <sup>1</sup>H NMR spectrum showed a vast predominance of 11b. Over 2.5 h, no rearrangement was detected; however, addition of some CF3CO2D (ca. 10 molar equiv) caused instantaneous conversion to 30:70 mixture of iminium salts 12a and 12b (mainly with D at C6). Evaporation of solvent and borane-THF reduction of the residual iminium salts gave a 40:60 ratio of 6a:6b (D at C6). This erosion of stereocontrol may be related to insufficient CF<sub>3</sub>CO<sub>3</sub>D to construct a tight solvent cage required of the proposed conducted tour mechanism (to be discussed later). Nevertheless, the trifluoroacetic acid still exerted a dramatic rate-enhancing effect on the enammonium-iminium rearrangement. In this regard, dissolution of 14 in CF<sub>3</sub>CO<sub>2</sub>D at 0 °C furnished a <sup>1</sup>H NMR spectrum consisting mainly of 11a and 11b (with D on nitrogen) in an 8:92 ratio, along with ca. 10% of 12b (with D on C6). At this temperature rearrangement occurred with a half-life of ca. 100 min to give an 8:92 mixture of 12a:12b (D on C6). Thus, the carboxylic acid protonates, or deuterates, enamine 14 on the nitrogen atom first, then promotes the enammonium-iminium rearrangement to ultimately place H or D on the  $\beta$ -carbon. These results highlight the importance of the carboxylic acid in facilitating rearrangement and in mediating stereoselectivity.

With the MeS series, since enammonium perchlorate 17b was fairly stable to rearrangement in trifluoroacetic acid (see the rate study, below), we tried to precipiate it as a solid with ether; however, the isolated solid was solely 21-perchlorate. A similar difficulty was experienced in attempts to isolate the iminium salts, 18.

We have not yet arrived at a satisfying explanation for the facile production of isoquinolinium salt on attempted isolation of enammonium or iminium species. Small amounts of hexahydropyrroloisoquinoline products were detected, but not enough to invoke disproportionation as a principal pathway. Perhaps, a trace amount of oxygen was responsible, in spite of the inert atmosphere employed.

Since the identification of **11b** as the major enammonium species was crucial to our mechanistic analysis, we sought to confirm our interpretation of the <sup>1</sup>H NMR spectral data. Valuable

information was garnered via dehydration of methiodides 22a/22b to enammonium salts 23a/23b, which were perfectly stable to



rearrangement, as expected. Treatment of 5a with MeI in acetonitrile afforded methiodide adducts as a 28:72 mixture of 22a and 22b. On dissolution in  $CF_3CO_2D$ , this mixture was rapidly dehydrated to a 30:70 mixture of enammonium salts 23a and 23b (<sup>1</sup>H NMR), which did not change on prolonged standing or on treatment with borane-THF. The <sup>1</sup>H NMR spectral properties of these isomeric enammonium salts were remarkably consistent with those for 11a and 11b in CF<sub>3</sub>CO<sub>2</sub>D (see Experimental Section). Dissolution of the mixture of 22a and 22b in CF<sub>3</sub>CO<sub>2</sub>H, followed by evaporation of solvent, afforded an orange oil comprised of 23a and 23b, but no solid ever separated. Recrystallization of the mixture of 22a and 22b from ethanol fortunately rendered a stereoisomerically pure sample of hydroxy methiodide 22b for independent study. A 400-MHz <sup>1</sup>H NMR spectrum of this sample in CDCl<sub>3</sub> indicated a cis A structure ( $\delta$ (H5e) 4.66,  $\delta(H3)$  4.80, and  $\delta(H10b)$  5.53). An <sup>1</sup>H NMR spectrum of this material in CF<sub>3</sub>CO<sub>2</sub>D initially showed a mixture of starting material 22b and dehydration product 23b, but, after 1 h, only isomerically pure, cis-fused enammonium salt 23b was present. An X-ray analysis was performed on needlelike crystals of 22b, grown slowly from methanol/2-propanol. In the solid state, the molecule possesses a cis-fused (cis  $A^{19a}$ ) structure with the 6-phenyl and methyl substituents pseudoequatorial and H10b pseudoaxial,<sup>20</sup> which proves the cis-fused stereochemistry of 23b. By logical extension, our structural assignments for 11a/11b and 17a/17b

<sup>(20)</sup> This X-ray analysis was performed in the laboratory of Dr. Masood Parvez at The Pennsylvania State University, University Park, PA 16802. The crystals were orthorhombic (space group Pbca) with Z = 8; the R value was 0.07. Full details will be published separately.

Table III. Data for Rate Studies with Salts of 7a/7b at 10 °C



Figure 3. Plot of the rate data for rearrangement of 17a/17b to 18a/18b at 10 °C with substrates 7 CF<sub>3</sub>CO<sub>2</sub>H ( $\bullet$ ), 7 HCl ( $\Delta$ ), 7 HBr (O), and 7·HClO₄ (□).

are confirmed, as well. A comparison of the high-field <sup>1</sup>H NMR spectra for 22b and 5a·HBr in CDCl<sub>3</sub> indicated that one of the two species from dissolution of **5a** HBr possesses a cis A structure (vide infra), albeit just the trans-fused structure is manifested in the solid state (Figure 1). This supports a mechanistic point relating to the facility of cis-trans isomerization at the ring fusion via N-H bond dissociation, which we will discuss later in more detail.

In the early phase of this project, we noticed that the rate of the enammonium-iminium rearrangement was dependent on whether the amino alcohol free base, HCl salt, or HBr salt was employed. For example, by <sup>1</sup>H NMR we observed rearrangement half-lives of ca. 150 min at 0 °C, 40 min at 20 °C, and 175 min at 20 °C with 5a, 5a·HCl, and 5a·HBr in CF<sub>3</sub>CO<sub>2</sub>D, respectively. Previous reports have referred briefly to the effect of acid strength on the rate of rearrangement<sup>8a</sup> or to the effect of anion on the rate of iminium salt epimerization.<sup>8b</sup> We therefore decided to conduct a careful rate study involving four different forms of amino alcohol 7a/7b. In our rate experiments, 7a/7b·CF<sub>3</sub>CO<sub>2</sub>H, 7a/ 7b·HCl, 7a/7b·HBr, or 7a/7b·HClO<sub>4</sub> (ca. 40 mg) was dissolved in CF<sub>3</sub>CO<sub>2</sub>D at 0-10 °C, and <sup>1</sup>H NMR spectra (at 10 °C) representing the transformation of 17a/17b to 18a/18b were recorded at appropriate intervals. Since the initial concentration of 17a was relatively minor (17a:17b  $\approx$  5:95), we have only treated data for the disappearance of 17b with time. Rate data are collected in Table III and a plot of log (percent of 17b) vs time is depicted in Figure 3. The relative rates of these four rearrangements, involving the anions CF<sub>3</sub>CO<sub>2</sub><sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, and ClO<sub>4</sub><sup>-</sup>, are 75, 7, 3, and 1, respectively,<sup>21</sup> a trend which roughly parallels the pK<sub>a</sub> values for the conjugate acids:  $CF_3CO_2H = 0.59$ , HCl = -7, HBr = -9, and HClO<sub>4</sub> = -10.<sup>22</sup> It should be noted, however, that this range of the rates is fairly narrow, i.e., confined



Figure 4. Views of 11a (trans) and 11b (cis A and cis B) depicting approximate conformations, derived from molecular modeling with SYBYL<sup>25a</sup> and the examination of Dreiding models, and favored modes of proton delivery to  $C_6$  ("intra" = intramolecular; "inter" = intermolecular). The trans form is changed into the cis forms by N-H dissociation, accompanied by nitrogen inversion (cis A) or nitrogen and ring inversion (cis B). The cis A and cis B forms are interconverted by facile ring inversion. (Note: The cis B structure, as drawn, is enantiomeric to the others.)

within 2 orders of magnitude. We also examined the reaction of 7a/7b in CF<sub>3</sub>CO<sub>2</sub>D, the rearrangement rate for which was identical (within experimental error) with the rearrangement rate found with  $7a/7b \cdot CF_3CO_2H$  in  $CF_3CO_2D$ . The absence of a deuterium isotope effect may be ascribed to dispersal of the bound CF<sub>3</sub>CO<sub>2</sub>H into a sea of CF<sub>3</sub>CO<sub>2</sub>D, rather than to mechanistic consequences. However, as indicated below, rearrangement rates can be dependent on whether CF<sub>3</sub>CO<sub>2</sub>H or CF<sub>3</sub>CO<sub>2</sub>D is used for the reaction medium.

The decrease in rate with increasing acid strength, albeit modest, does agree with the observations of Barthelemy and Bessière<sup>8a</sup> and Evans et al.<sup>8b</sup> This suggests that the anion plays some role in the rearrangement process, the mechanism of which would entail either (1) dissociation of the enammonium salt to enamine followed by reprotonation at C6 to give iminium salt or (2) solvent-assisted transfer of the proton from N4 to C6 in a tight cage (a conducted tour mechanism<sup>23</sup>).<sup>24</sup> Our observation of intramolecular H/D transfer, described earlier, is a clear example of a cage phenomenon, and lends further credence to the latter mechanism.

Another point of curiosity is the long time that is required to finish the enammonium-iminium rearrangement when starting with acid-addition salts of the amino alcohol. One might wonder: How can reductive deoxygenation reactions go to completion in less than 1 h at 0-5 °C when the necessary rearrangement is so sluggish? In the first place, we did note that the enammoniumiminium rearrangement with 5a HBr as educt is much faster in CF<sub>3</sub>CO<sub>2</sub>H; the rearrangement had a half-life of 3-4 min at 20 °C, making it about 50 times faster than the same rearrangement in CF<sub>3</sub>CO<sub>2</sub>D. (However, as mentioned above, there was no difference in rate between CF<sub>3</sub>CO<sub>2</sub>H and CF<sub>3</sub>CO<sub>2</sub>D with free base 7a/7b as educt.) Even so, reduction of  $5a \cdot HBr$  in CF<sub>3</sub>CO<sub>2</sub>D was effectuated prematurely, too; the mixture of 6a/6b was completely formed in less than 1 h at 0-5 °C. Apparently, there must be some kind of catalysis taking place in the reductive deoxygenation process. We tested  $(CF_3CO_2)_3B$  as a possible catalyst in a rate study with 5a HBr in  $CF_3CO_2D$ , but the additive had no effect on the rate of enammonium-iminium rearrangement. Perhaps, a borane reagent with a B-H bond left intact is acting as a catalyst. The source of this catalysis phenomenon is currently unresolved.

Given this information, we can now construct a plausible rationale for the high stereoselectivity of the reductive deoxygenation.

<sup>(21)</sup> A rate study with 7a/7b-HPF<sub>6</sub> in CF<sub>3</sub>CO<sub>2</sub>D was confounded by solvolysis of the PF6 counterion; one to three fluoro substituents were replaced by a trifluoroacetate molety.

<sup>(22) (</sup>a) Albert, A.; Serjeant, E. P. The Determination of Ionization Constants; Chapman and Hall: London, 1971. (b) Kortum, G.; Vogel, W.; Andrussow, K. Dissociation Constants of Organic Acids in Aqueous Solutions; Butterworths: London, 1961.

<sup>(23) (</sup>a) Broadhurst, M. D.; Cram, D. J. J. Am. Chem. Soc. 1974, 96, 58]. (23) (a) Broadhurst, M. D.; Cram, D. J. J. Am. Chem. Soc. 1974, 96, 58].
Almy, J.; Hoffman, D. H.; Chu, K. C.; Cram, D. J. Ibid. 1973, 95, 1185.
Almy, J.; Cram, D. J. Ibid. 1969, 91, 4459. (b) Also, see: March, J. Advanced Organic Chemistry; 3rd ed.; Wiley: New York, 1985; pp 518-519, 525.
(24) A thermal, concerted 1,3 proton shift is highly unlikely because of orbital symmetry considerations, whereas a stepwise process is perfectly reasonable.<sup>5,74</sup>

Acid-induced dehydration of 5a/5b or 7a/7b produces enammonium salts 11a/11b or 17a/17b, respectively, which are highly enriched in the cis-fused isomer (i.e., ca. 95% of 11b or 17b). We believe that this cis form, comprised of rapidly interconverting "cis A" and "cis B" conformers (Figure 4), is thermodynamically much more stable than the trans form on the basis of results from a separate study of protonated pyrrolo[2,1-a]isoquinolines.<sup>19a,25</sup> In the hexahydropyrroloisoquinoline case, the cis A conformer (equatorial NH) turned out to be somewhat more stable than the cis B conformer (axial NH).<sup>19a</sup> However, with the removal of certain critical 1,3 syn-axial interactions in the tetrahydropyrroloisoquinoline system, it may be reasonable to project that the two cis conformers (Figure 4) have nearly the same stability.<sup>25</sup> Any of the three forms could theoretically give rise to the preferred iminium product either by intramolecular ("intra") or intermolecular ("inter") proton transfer to C6 (Figure 4). However, the results show as the major reaction  $11b \rightarrow 12b$  and as the minor reaction 11a → 12a.

It is important to recognize that the X-ray structure of substrate 5a HBr shows a trans ring fusion (Figure 1), which would pre-sumably be disfavored in solution.<sup>19a</sup> Thus, when solid 5a HBr is dissolved in CF<sub>3</sub>CO<sub>2</sub>H or CF<sub>3</sub>CO<sub>2</sub>D, it will first possess this trans arrangement. Whether this form is converted directly to 11a en route to 11b or to the cis-fused form of 5a HBr en route to 11b is not known (eq 1). Indeed, an <sup>1</sup>H NMR spectrum of 5a HBr in CDCl<sub>3</sub>, immediately after dissolution, showed a nearly 55:45 mixture of two species, assigned as the cis A ( $\delta$ (H10b) 5.76) and trans ring-fused isomers ( $\delta(H10b)$  5.24) of 5a HBr, the ratio of which did not change on standing for 3 days (cf. the 'H NMR spectral data for 22b). Although the interconversion of cis- and trans-fused species would be slower in a strongly acidic medium,<sup>19a</sup> the instant attainment of the cis-trans equilibrium in this case (possibly assisted by the presence of the 6-hydroxy substituent) makes fast isomerization in trifluoroacetic acid a reasonable possibility (eq 1). A rapid interconversion of 11a and 11b is also a reasonable possibility (eq 1). We do know that the ratio of 11a and 11b is tremendously biased toward 11b at the earliest possible point of NMR inspection, even when unreacted 5a HBr is still present. This reflects the strong intrinsic preference for the cis-fused geometry. During the cis-trans equilibration of the substrate (5a HBr), or the possible isomerization of enammonium salt (11a with 11b), both of which involve dissociation to a weak base and a proton followed by recombination, a solvent-cage effect may be important, as signalled by the lack of rapid H/D exchange in the labeling experiments. The isotopic leakage observed could be due to escape from the solvent cage surrounding the tight ion pair. Despite facile interconversion of the trans- and cis-fused enammonium salts, probably fast relative to the rates of rearrangement  $(k_a \text{ and } k_b \text{ in eq } 1)$ , the stereochemical outcome does not suffer. Both the trans- and cis-fused forms of the enammonium salts (11a/11b; 17a/17b) rearrange with high stereospecificity: ca. 99% for the major cis-fused form and at least 80-85% for the minor trans-fused form (see Table III).<sup>26</sup> Because of the various equilibria, the rates of rearrangement,  $k_a$  and  $k_b$ , should be nearly the same, to guarantee the same  $\mathbf{a}/\mathbf{b}$  ratio for enammonium and iminium salts.

<sup>(25) (</sup>a) Empirical force field calculations on the related hydrocarbon isomers i and ii were conducted with SYBYL (Tripos Associates, Inc., St. Louis, MO, Version 5.1) and MM2.<sup>25b</sup> Thus, we determined three minimized structures with the following energies (kcal/mol): 7.8 for cis B, 8.3 for cis A, and 10.2 for trans.<sup>19a</sup> These results reinforce our proposal of a low energy cis form, both cis A and cis B, for 11b (cf. results in ref 19a). (b) Allinger, N. L.; Yuh, Y. H. QCPE **1980**, 12, 395.





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Figure 5. Conceptual representations of solvent-assisted, syn-stereospecific 1,3 proton transfer involving the cis-fused enammonium salt, 11b, as cis A and cis B conformers. The tight solvent cage impedes exchange of the labile hydrogen with the medium. In panels (a) and (c), proton migration from N4 to C6 is mediated by the carbonyl group of trifluoroacetic acid; in panels (b) and (d), proton transfer is mediated by trifluoroacetic acid in a relay mechanism.

The enammonium-iminium rearrangement proceeds by protonation of the carbon-carbon double bond at C6 of the enammonium species ( $S_E2'$ -type mechanism) or of an incipient dissociated enamine species ( $S_F$ 1'-type mechanism), with high diastereofacial selectivity syn to H10b. For the purpose of discussion, we will assume a nondissociative  $S_E2$  process and, in the case of intermolecular protonation at C6, a preference for attack antiperiplanar to the N-H bond.<sup>27</sup> Several modes of reaction are then open for consideration (Figure 4). For the trans form (11a), "inter/anti" attack would yield major iminium salt 12b (not observed), while the "intra/syn" reaction would yield minor iminium salt 12a (observed). For the cis B form, inter/anti would give 12a (not observed) and intra/syn would give 12b (observed). With the cis A form the anti direction may be more tolerable for the "inter" reaction, yielding 12a (not observed), although one might argue that intermolecular protonation could occur from the syn direction because the endo face of the double bond is more sterically hindered (by C3). The intra/syn mode for the cis A form would afford major iminium salt 12b (observed). But, the equatorial disposition of the N-H in the cis A form may not offer as auspicious a geometry for transfer as the axial N-H in the cis B form (see Figure 5), suggesting that the cis B form is the main conduit to observed product. From this analysis, we must conclude that intra/syn proton transfer is of paramount importance, as it is unlikely that the high stereospecificity (around 99% syn for 11b  $\rightarrow$  12b) can be achieved through stereoelectronic effects (e.g., steric hindrance and antiperiplanar control) in an intermolecular protonation mechanism.

Given our data on the rearrangement, solvent-assisted, intramolecular syn proton transfer from N4 to C6 sounds rather appealing. This pathway may be effectuated by a suprafacial "conducted tour" mechanism involving trifluoroacetate in a tight ion pair.<sup>23</sup> Two possible conceptualizations of this process are

<sup>(27)</sup> Generally, anti addition of  $H^+$  to a carbon-carbon double bond in an intermolecular  $S_E^{2'}$  reaction is strongly favored over syn addition, on the basis of theoretical studies<sup>27a</sup> and experimental results with allylsilanes.<sup>27b,c</sup> However, syn protonation can occur to a significant degree in certain circumstances.<sup>27c,d</sup> See: (a) McGarvey, G. J.; Williams, J. M. J. Am. Chem. Soc. **1985**, 107, 1435 and ref 1 and 2 cited therein. (b) Hayashi, T.; Konishi, M.; Ito, H.; Kumada, M. *Ibid.* **1982**, 104, 4962. Hayashi, T.; Ito, H.; Kumada, M. *Ibid.* **1982**, 23, 4605. Wickham, G.; Kitching, W. J. Org. Chem. **1983**, 48, 612. (c) Fleming, I.; Terrett, N. K. J. Organomet. Chem. **1984**, 264, 99. Idem. Tetrahedron Lett. **1983**, 24, 4153. (d) Wetter, H.; Scherer, P. Helv. Chim. Acta **1983**, 66, 118. Wickham, G.; Kitching, W. Organometallics **1983**, 2, 541.

<sup>(26)</sup> Please note that the small quantity of the minor forms made it impossible to determine the degree of stereospecificity for their rearrangement. Although we feel that the minor enammonium species rearrange with >95% stereospecificity, the data are not accurate enough to establish this conclusion.

portrayed in Figure 5 for the cis A and cis B conformations of 11b. Here, exchange with the medium would be restricted by the supramolecular structure of the solvent cage, held together by noncovalent bonds (e.g., ionic and hydrogen bonding). In Figure 5a,c the 1,3 proton migration is mediated by the carbonyl group of trifluoroacetic acid,  $X^-$  is intimate to the complex, and there would be limited H-D exchange. Thus, the results of the H-D exchange experiments could be readily explained. This process would probably be more likely, by virtue of geometry and structural simplicity, for the cis B conformer (Figure 5c). In Figure 5b,d the proton is delivered by a relay mechanism involving trifluoroacetic acid and  $X^-$  is complexed to some degree. For this depiction, the H-D labeling results are harder to rationalize; however, one could suppose exchange between N-H and O-D (or N-D and O-H) within the cage (with limited escape) prior to proton transfer. The resultant iminium salts, 12a/12b or 18a/18b, emerge highly enriched (ca. 95%) in trans isomer (12b or 18b), and subsequent reduction is effected with the complete maintenance of stereochemical integrity.

Even though we suggest the predominance of solvent-assisted proton transfer, we cannot accurately gauge the extent of its participation and we cannot exclude some contribution of intermolecular proton delivery. In the final analysis, there are three critical points that govern the high stereocontrol in the reduction of **5a**, **5b**, **7a**, or **7b**: (1) a high intrinsic preference for the cis-fused enammonium salt, (2) essentially stereospecific proton transfer in the enammonium-iminium rearrangement, and (3) iminium salt reduction prior to epimerization.

From information in our introduction to this paper, it is clear that various researchers have probed into mechanistic aspects of enamine protonation and hydrolysis, enammonium-iminium rearrangements, and iminium ion epimerization.<sup>7-9</sup> One group, in particular, reported an interesting H/D labeling experiment (eq 4) related to the experiments that we have investigated here.<sup>9a,b</sup>



Treatment of a benzene solution of 24 with dry HCl deposited 25, as an unstable crystalline solid. Dissolution of 25 in CD<sub>3</sub>OD resulted in considerable H–D exchange to give 26, which rearranged at 50 °C to 27, an isolable substance. This example contrasts with ours in that the H–D exchange at nitrogen is facile in CD<sub>3</sub>OD, so there is little retention of the proton in the final iminium salt. Although Matsushita et al.<sup>9a,b</sup> indicated a direct 1,3 transfer of deuterium, this is not possible as mentioned above;<sup>24</sup> however, their result is perfectly compatible with an intermolecular or a solvent-assisted intramolecular mechanism.<sup>7d</sup>

Another interesting paper from Matshushita et al. concerns asymmetric induction in the reaction of enamine 28 and (+)-10-camphorsulfonic acid (monohydrate) with subsequent hydrolysis to aldehyde.<sup>9e</sup> Although the enantiomeric excesses that they realized were quite small, thereby limiting the mechanistic significance, this asymmetric induction nevertheless points to a tight association of the anion in the enammonium-iminium rearrangement.

Our elimination route to enammonium salts, and entry into the enammonium-iminium rearrangement, is uncommon in that it relies on an elimination process, whereas direct protonation of enamines has generally been used.<sup>7a,c,d</sup> As far as we know, there is only one other paper in the literature that deals with this type of reaction sequence, reporting the production of iminium salt **30** from **29** with 70%  $HCIO_4$ .<sup>28a</sup> The elimination route can be



Scheme I



advantageous in that one can easily control the initial acid and be confident that protonation originates on nitrogen. It has been noted, in general, that mineral acids protonate enamines on nitrogen, whereas carboxylic acids protonate enamines on the  $\beta$ carbon.<sup>7a,c,d</sup> In a discussion of the protonation of enamines with trifluoroacetic acid, Nilsson et al. ascribed this behavior to possible bifunctional catalysis by the carboxylic acid in the transformation of an initial N-protonated enammonium salt to an iminium salt.<sup>28b</sup> Our NMR and reaction rate studies nicely clarify this question. We were able to generate N-protonated and N-deuterated enammonium salts in, or with, trifluoroacetic acid and conveniently monitor their fate, despite the instability to rearrangement. Furthermore, we were able to visualize the direct N-protonation of enamine 14 with CF<sub>3</sub>CO<sub>2</sub>D by <sup>1</sup>H NMR. As a consequence, we suggest that enamines and carboxylic acids, under general circumstances, ought to furnish N-protonated enammonium salts, which subsequently convert to the corresponding iminium salts.

Synthesis of McN-5652-Z and McN-5652-X. We have been involved in the synthesis of pyrrolo[2,1-a]isoquinoline compounds because of their interesting biological properties.<sup>10-12</sup> Since the bioactivity is exhibited chiefly by the trans diastereomers, viz. 2, we have sought a stereoselective avenue to this series. Unfortunately, most of the methods have afforded the cis diastereomers preferentially. One procedure was discovered for obtaining a 60% diastereomeric excess of the target trans stereoisomer. Treatment of the iodide form of 10 with NaOH generated enamine 31, which was hydrogenated in ethanol over platinum in the presence of triethylamine to give a 20:80 mixture of 6a and 6b. However, this process could not be improved further because 6b epimerized to 6a under the reaction conditions and other hydrogenation protocols were less satisfactory.

The reductive deoxygenation of 6-hydroxy derivatives, discovered later, was highly promising. In early studies with 7a and 7b, variable results were obtained, but this problem was ironed out by control of the temperature and reaction conditions. Nevertheless, the successful optimization of the yield and stereoselectivity was closely linked to our extensive mechanistic investigation, reported above. To capitalize on this advance, we needed to develop a practical synthesis of 7a/7b. Fortunately, the stereoconvergent nature of the reductive deoxygenation eliminated any need for a stereocontrolled synthesis of 7a or 7b; thus, we focused our attention on developing a short, efficient synthesis of racemic 7a/7b, which would be amenable to an eventual enantiospecific synthesis. The highlights of this chemistry are outlined in Scheme I.

Our convergent synthesis of 7a/7b began with thermal condensation of 2-phenylpyrrolidine (32) and 4-methylthiomandelic acid (33) to afford a 1:1 mixture of hydroxy amides 34 in near-quantitative yield. Reactant 32 was prepared in two steps from N-vinylpyrrolidin-2-one and ethyl benzoate, while reactant

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 C. Acta Chem. Scand. B 1976 30, 271.



**33** was prepared from 4-(methylthio)benzaldehyde and bromoform (Scheme II).<sup>11a,29</sup> 2-Phenyl-1-pyrroline (**37**) was obtained by a modification of the procedure of Brandage and Lindblom.<sup>30</sup> In their one-pot procedure, which entails sequential base-induced condensation of N-vinylpyrrolidinone and ethyl benzoate, acidmediated hydrolysis/decarboxylation, and based-induced cyclization, intermediate keto lactam 38 was found to polymerize at a rate competitive with hydrolysis of the N-vinyl group. To avoid the polymer formation, we isolated 38 and conducted the hydrolysis/decarboxylation step under high-dilution conditions (see experimental description<sup>14b</sup>). This two-pot method furnished 37 in 85% isolated yield. Catalytic hydrogenation of 37 (40 psig, 10% Pd/C, ethanol) required careful monitoring to keep 4phenylbutylamine, an over-reduction byproduct, to a minimum (ca. 5%). Hydroxy amides 34 were cyclodehydrated with concentrated sulfuric acid in a trifluoroacetic acid medium to afford a mixture of lactams, 35a/35b, in a 5:1 ratio. Originally, we introduced the hydroxyl group by trapping the sodium enolates of 35a/35b (prepared by using NaH in refluxing THF) with oxygen; the supposed hydroperoxide intermediates were reduced in situ to furnish 36a/36b, as a 1:1 mixture.<sup>11a</sup> Ultimately, we developed a safer, more convenient hydroxylation procedure involving generation of the potassium enolates with solid KOH in warm dimethyl sulfoxide in the presence of oxygen; this gave a mixture of 36a and 36b enriched in the former. Reduction of the amide carbonyl in 36a/36b with borane-THF resulted in some over-reduction to undesired 4a. Hence, we employed sodium borohydride and  $CF_3CO_2H$  to selectively reduce the carbonyl group and complete the synthesis of  $7a/7b^{.31}$  The mixture of 7a and 7b was isolated and purified as an HCl salt (7a/7b = 75:25)in an overall yield of 75% from 35a/35b.

With a high-yielding synthesis of 7a/7b firmly established, the final target molecule (4b) was obtained by using the highly stereoselective deoxygenation reaction with borane-THF in CF<sub>3</sub>C- $O_2H$ . The aforementioned NMR studies helped to define the requirements for obtaining maximum selectivity in the deoxygenation step. The HCl salt of 7a/7b was dissolved in CF<sub>3</sub>CO<sub>2</sub>H at -10 °C. One hour later, <sup>1</sup>H NMR analysis of the reaction mixture indicated the absence of 17a/17b; thus, the borane-THF (1.5 molar equiv) was added dropwise. Following an extractive workup, 4a/4b was isolated as the perchlorate salt in 92% yield, as an 8:92 mixture. Altogether, the synthesis of racemic 4b was completed in seven steps from commercially available N-vinylpyrrolidin-2-one in an overall yield of 56%.

For an enantiospecific synthesis of (6S,10bR)-(+)-4b (McN-5652-X), 2-phenylpyrrolidine (32) was a suitable starting point because of the availability of the desired R enantiomer via classical resolution or enantioselective hydrogenation.<sup>32</sup> A sample of (R)-(+)-32 (98% ee) from resolution of R-enriched material<sup>32</sup> with L-(+)-tartaric acid<sup>12c</sup> was employed in the above synthetic sequence to furnish (6S,10bR)-(+)-4b. However, the enantiomeric purity, as determined via 360-MHz <sup>1</sup>H NMR analysis of the Mosher's acid salt (1.5 molar equiv of (R)-(3)- $\alpha$ -methoxy- $\alpha$ -(trifluoromethyl)phenylacetic acid;<sup>33</sup> C<sub>6</sub>D<sub>6</sub>),<sup>12c,34</sup> was surprisingly

only about 30%. This disappointing result prompted our reevaluation of the synthetic route.

We considered three reactions as possible points for racemization: the base-induced hydroxylation  $(35 \rightarrow 36)$ , the CF<sub>3</sub>CO<sub>2</sub>H-mediated borohydride reduction of 36a/36b, and the stereoselective deoxygenation of 7a/7b. Since racemization would involve the preset stereogenic center, we performed these reactions with either deuterated reactants or reagents. The facts already in hand (vide supra) indicated that the deoxygenation reaction was not problematic. To test for racemization of 36a/36b during the sodium borohydride reduction, racemic 36a/36b (95:5) was reduced with sodium borodeuteride and  $\mathrm{CF_3CO_2D}$  and the product was isolated as an HCl salt. High-field <sup>1</sup>H NMR analysis of the resultant C5 dideuterio-7a/7b (>95:5, 85%) revealed no deuterium incorporation at C10b, thereby excluding racemization in this step. We then examined possible racemization at C10b of 35a/35b before and after hydroxylation. Racemic 35a/35b (ca. 80:20) was exposed to KOD (2 molar equiv) in DMSO- $d_6$  at 65 °C for 2.5 h. <sup>1</sup>H NMR analysis of the crude reaction mixture revealed ca. 44% deuterium incorporation at C10b of recovered 35a/35b (ca. 92:8), thereby identifying a source of racemization. The product of hydroxylation was also tested indirectly for racemization by subjecting 36a/36b to similar conditions. Again, <sup>1</sup>H NMR analysis showed ca. 48% deuteration at C10b of recovered 36a/36b (90:10). These experiments clearly demonstrate that the erosion of enantiomeric purity originated in the base-mediated hydroxylation of 35a/35b. Fortunately, this problem was easily solved by using just 1.0 molar equiv of NaOH and oxygen-sparged DMSO. With this new procedure, we completed the enantiospecific synthesis of (6S, 10bR)-(+)-4b, obtaining >95% ee product (by NMR assay) from 98% ee (R)-(+)-32.

#### Conclusion

The reductive deoxygenation of 5a/5b and 7a/7b with borane-THF and trifluoroacetic acid to give 6a/6b and 4a/4b, respectively, is stereoconvergent and highly stereoselective for the trans isomer (generally 88-94% in favor of 6b or 4b). One key aspect of this process is a strong thermodynamic preference for the cis-fused enammonium salt, 11b or 17b, while another is the impressively high stereospecificity (ca. 99%) of the enammonium-iminium rearrangement.<sup>36</sup> In fact, both the major (cis-fused) and minor (trans-fused) enammonium diastereomers are similarly constrained to rearrange with suprafacial proton delivery to the  $\beta$  carbon (i.e., C6). Moreover, proton transfer was found to compete effectively with intermolecular exchange reactions. To rationalize the remarkable stereospecificity of the rearrangement. and the attendant intramolecular component, we have invoked a conducted tour mechanism involving a tight solvent cage. Such a solvated ion-pair aggregate, a complex solution structure that is held together by noncovalent bonding in a "supramolecule", can be quite reasonable for an ionic solute in a carboxylic acid medium.<sup>35</sup> Indeed, solvated intimate ion pairs have served a valid purpose in the description of solvolysis and nucleophilic substitution reactions at sp<sup>3</sup> carbon.<sup>37a</sup> Such species have also been important for explaining the chemistry of carbanion protonation.<sup>23,37b</sup> Recently, the relevance of aggregate species in the chemical behavior of lithium enolates has been aptly appreciated, whereupon Seebach noted that they "may influence the result of seemingly simple

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CF<sub>3</sub>CO<sub>2</sub>H) of the 7-hydroxybenzo[a]quinolizine homologues of **Sa** HBr or **Sb**-HBr gave a 32:68 mixture of *cis*- and *trans*-1,3,4,6,7,11b-hexahydro-7phenyl-2H-benzo[a]quinolizines (related to 6a and 6b). The low stereosepnenyi-2/1-benzo[a]quinolizines (related to ba and bb). The low stereose-lectivity here is consistent with the much greater preference for trans-fused forms of protonated hexahydrobenzo[a]quinolizines,<sup>19a</sup> confirming the argu-ment for high stereoselectivity proposed in this paper. Details of work on the benzo[a]quinolizine series will be published in due course. (37) (a) Carey, F. A.; Sundberg, R. J. Advanced Organic Chemistry, Part A; Structure and Mechanisms; 2nd ed.; Plenum Press: New York, 1984; pp 204-6. 244-7, 283-4. (b) Ibid., pp 379-380.

standard reactions of organic synthesis".<sup>38</sup> The enammoniumiminium rearrangement addressed in this paper extends the scope of such phenomena.

#### **Experimental Section**

General Methods. Melting points are corrected. <sup>1</sup>H NMR spectra were recorded on a Varian EM-390 (90 MHz), Bruker AM-360WB (360 MHz), or a Bruker AM-400 (400 MHz) instrument in CDCl<sub>3</sub> with Me<sub>4</sub>Si as an internal standard, unless indicated otherwise (s = singlet, d = doublet, t = triplet, m = multiplet, br = broadened, dd = doubletof doublets). NMR proton assignments and coupling constants for 5a HBr were derived with the aid of 2D COSY and homonuclear de-coupling experiments. <sup>2</sup>H NMR spectra were obtained at 55.3 MHz on the Bruker AM-360WB. <sup>13</sup>C NMR spectra were obtained at 90.6 MHz on the Bruker AM-360WB or 100.6 MHz on the Bruker AM-400 in CDCl<sub>3</sub>, unless noted otherwise. Carbon multiplicities were determined by the DEPT technique. Chemical-ionization (methane) mass spectral data were recorded on a Finnigan 3300 spectrometer; electron-impact (70 eV) and fast-atom bombardment data were obtained on a VG Micromass 7035 instrument. TLC analyses were performed on Whatman  $250-\mu$  silica gel plates with visualization by UV fluorescence and iodine staining; GLC analyses were performed on a Hewlett Packard 5890 gas chromatograph using a Chrompack CP SIL 5 CB (25 m × 0.25 mm) column. The X-ray analysis of 5a HBr was performed by Crystalytics Company, Lincoln, NB. Trifluoroacetic acid (99%), trifluoroacetic acid-d (99% D), and 1 M BH3 THF were purchased from Aldrich Chemical Co. and used as received.

<sup>1</sup>H NMR Analysis of 5a HBr.<sup>11a</sup> A <sup>1</sup>H NMR spectrum (400 MHz, CDCl<sub>3</sub>) of 5a HBr was taken immediately after dissolution of the solid. It showed a mixture of two forms in a ratio of ca. 55:45 (cis:trans), and there was little change with time. Data:  $\delta 2.0-3.0$  (m, 4 H), 3.37 (d, J = 11.5 Hz, H<sub>5</sub>), 3.51 (d, J = 14.2 Hz, H<sub>5</sub>), 3.74 (d, J = 13.7 Hz, H<sub>5</sub>), 3.85-4.40 (m, 3 H), 5.24 (m, 0.45 H, H<sub>106</sub>), 5.76 (m, 0.55 H, H<sub>106</sub>), 6.96 (d, J = 7.7 Hz, H<sub>7</sub>), 7.15-7.50 (m, aromatic), 12.10 (br s, NH), 12.23 (br s, NH). When the <sup>1</sup>H NMR spectrum was recorded with CDCl<sub>3</sub> and Me<sub>2</sub>SO-d<sub>6</sub> as the solvent, we again noted a mixture of cis- and transfused forms, this time in a 74:26 ratio:  $\delta$  3.48 (d, J = 13.8 Hz, H<sub>5</sub> major), 3.69 (dd, J = 4.4, 13.8 Hz, H<sub>5</sub> major), 3.96 (d, J = 12.6 Hz, H<sub>5</sub> minor), 4.15 (m, H<sub>3</sub> major), 4.72 (m, 0.26 H, H<sub>106</sub>), 5.15 (m, 0.74 H, H<sub>106</sub>), 5.95 (s, 0.26 H, OH), 6.15 (s, 0.74 H, OH).

Reductive Deoxygenation of 5a HBr. General Procedure. A mino alcohol salt 5a HBr (45 mg, 0.13 mmol) was dissolved in trifluoroacetic acid (2 mL) under argon at room temperature. The solution was cooled to 5 °C in an ice bath, the hydride reducing agent (excess) was added, and the reaction was stirred at 5 °C usually for 15-30 min. The reaction was quenched by addition of water (5 drops) and stirred at room temperature for ca. 30 min. The solution was basified with 1 N NaOH and extracted with methylene chloride. The organic layer was washed once with water and once with brine, dried ( $K_2CO_3$ ), and concentrated to give a mixture of 6a and 6b.

**Example 1.** A | M solution of borane in THF (0.50 mL, 0.50 mmol) gave **6a** and **6b** (30 mg, 90%) in a 10:90 ratio (GLC), which was verified by <sup>1</sup>H NMR (90 MHz):  $\delta$  1.7-3.1 (m, aliphatic), 3.55 (m, 1 H, H<sub>10b</sub>), 4.20 (dd, 0.90 H, J = 5, 5 Hz, H<sub>6</sub>), 4.40 (dd, 0.10 H, J = 6, 9 Hz, H<sub>6</sub>), 6.8-7.3 (m, 9 H).

**Example 2.** Sodium borohydride (19 mg, 0.5 mmol, fragment of a pellet) gave **6a** and **6b** (27 mg, 84%) in a 13:87 ratio (GLC), which was verified by <sup>1</sup>H NMR (90 MHz).

**Example 3.** Sodium cyanoborohydride (60 mg, 1.0 mmol) gave **6a** and **6b** (30 mg, 90%) in a ratio of 55:45 (GLC and <sup>1</sup>H NMR).

**Example 4.** When a 1 M solution of triethylsilane in THF (0.50 mL, 0.50 mmol) was used as the hydride source, little reaction was noted by GLC even after 24 h at 5 °C. After workup, a dark green oil was isolated (20 mg, 56%), which was predominantly enamine 14 by <sup>1</sup>H NMR (90 MHz):  $\delta$  4.30 (dd, J = 6, 9 Hz, H<sub>10b</sub>), 6.35 (s, H<sub>5</sub>). **Reductive Deoxygenation of 5b-HB**r. Following the general procedure,

**Reductive Deoxygenation of 5b-HB**r. Following the general procedure, **5b-HB**r (10 mg, 0.029 mmol; generated from analytically pure fumarate salt<sup>11a</sup> via the free base in 2-propanol with the requisite quantity of 48% HBr, mp 197–198 °C) in trifluoroacetic acid (0.50 mL) was reduced with 1 M BH<sub>3</sub>·THF (0.10 mL, 0.10 mmol); workup gave a mixture of **6a** and **6b** (7.0 mg, 97%) in a 7:93 ratio (GLC, <sup>1</sup>H NMR).

Reductive Deoxygenation of 5a HBr with BD<sub>3</sub> THF. Amino alcohol salt 5a HBr (45 mg, 0.13 mmol) was dissolved in trifluoroacetic acid (2 mL) at room temperature under argon and stirred for 40 min. The reaction was cooled to 5 °C and a 0.85 M solution of BD<sub>3</sub> THF (1.0 mL, 0.85 mmol) was added dropwise. After 3 h, the reaction was worked up following the general procedure to afford a yellow oil (32 mg, 98%). Cl-MS (CH<sub>4</sub>) analysis gave a MH<sup>+</sup> of 25I, and GLC showed a 9:91 mixture of **8a** and **8b**. <sup>1</sup>H NMR of **8b** (360 MHz):  $\delta$  3.61 (dd, J = 7.4, 9.0 Hz, H<sub>10b</sub>), 4.19 (d, J = 5.8 Hz, H<sub>6</sub>), 6.90 (d, J = 7.4 Hz, H<sub>7</sub>), 7.0–7.3 (m, aromatic). <sup>1</sup>H NMR of **8a**:  $\delta$  4.40 (d, J = 11 Hz, H<sub>6</sub>), 6.85 (d, J = 12 Hz, H<sub>7</sub>). <sup>1</sup>H NMR of **8b**·HCl (360 MHz, CDCl<sub>3</sub>):  $\delta$  3.13 (dd, J = 11.0, H<sub>5ax</sub>), 4.76 (d, J = 11.8 Hz, H<sub>6ax</sub>), 4.90 (m, H<sub>10b</sub>), 6.79 (d, J = 7.6 Hz, H<sub>7</sub>); the H<sub>5ax</sub> and D<sub>5eq</sub> confirms deuterium entry anti to the phenyl ring.

**Reductive Deoxygenation of 5a or 5a** HBr in Trifluoroacetic Acld-d. Amino alcohol salt **5a** HBr (50 mg, 0.14 mmol) was dissolved in CF<sub>3</sub>C-O<sub>2</sub>D (2 mL) at 23 °C under argon and cooled to 5 °C. A 1 M solution of BH<sub>3</sub>·THF (0.50 mL, 0.50 mmol) was added. After 30 min, the reaction was worked up to give partially deuterated **6a** and **6b** (40 mg, 100%) in a ratio of 9:91 (GLC); <sup>1</sup>H NMR (90 MHz) showed about 65% proton incorporation at position 6 of both isomers (signals at  $\delta$  4.0-4.4; rest of spectrum:  $\delta$  1.7-3.1 (m, 8 H, aliphatic), 3.50 (m, H<sub>10b</sub>), 4.20 (dd, J = 5, 5 Hz), 6.8-7.4 (m, 9 H, aromatic)).

Likewise, **5a** (50 mg, 0.19 mmol) was reacted to give a mixture of amines **6a** and **6b** (40 mg, 85%) in a 16:84 ratio (GLC). <sup>1</sup>H NMR (90 MHz, CDCl<sub>3</sub>) showed about 10–15% of proton incorporation at position 6 ( $\delta$  4.10–4.40), possibly because of the hydrogen from the OH of the substrate released as HDO. The remainder of the <sup>1</sup>H NMR spectrum was essentially identical with that from above reaction except for the sharp doublets at  $\delta$  3.00 and 2.80 (J = 11.5 Hz, 2 H<sub>5</sub>).

<sup>1</sup>H NMR Study of 5a HBr in Trifluoroacetic Acid-*d*. Amino alcohol salt 5a HBr (49 mg, 0.14 mmol) was added to  $CF_3CO_2D$  (1 mL) at -5 °C in an NMR tube, which was then placed in the spectrometer (360 MHz) at 0 °C. The initial <sup>1</sup>H NMR spectrum showed only enammonium salts 11a and 11b in a 5:95 ratio:  $\delta 2.28/2.45/2.63/2.75$  (m, 4 H, H<sub>1</sub> and H<sub>2</sub>), 3.62 (m, H<sub>3a</sub>), 4.20 (m, H<sub>3e</sub>), 4.73 (m, H<sub>10b</sub> of 11a), 5.24 (d, J = 14.7 Hz, H<sub>10b</sub> of 11b), 6.18 (s, 0.95 H, H<sub>5</sub> of 11b), 6.58 (s, 0.05 H, H<sub>5</sub> of 11a), 7.2–7.6 (m, 9 H). <sup>13</sup>C NMR (CF<sub>3</sub>CO<sub>2</sub>D, 164.0 ppm; 90 MHz; 11b only):  $\delta 23.55$  (C<sub>2</sub>), 31.95 (C<sub>1</sub>), 59.40 (C<sub>3</sub>), 66.51 (C<sub>10b</sub>), 120.5 (C<sub>5</sub>), 128.2, 129.3 (4° C), 130.2, 130.9 (2 C), 131.2 (2 C), 131.5 (C), 131.9, 132.0, 133.4, 135.9 (aromatic 4° C), 143.3 (aromatic 4° C). After the probe temperature was raised to 20 °C, slow rearrangement to iminium salts 12a and 12b was observed ( $t_{1/2} = 175$  min). Complete conversion required about 24 h and the final ratio of 12a to 12b was 9:91. <sup>1</sup>H NMR:  $\delta 2.40-2.70$  (m, 2 H<sub>1</sub> and H<sub>2b</sub>), 3.23 (m, H<sub>2c</sub>), 4.44 (m, H<sub>3a</sub>), 4.70 (m, H<sub>3c</sub>), 5.18 (m, 1.35 H, H<sub>10b</sub> and H<sub>6</sub>), 6.89 (d, J = 7.7 Hz, H<sub>7</sub> of 12b), 7.30–7.60 (m, aromatic), 9.01 (s, 0.91 H, H<sub>5</sub> of 12b), 9.22 (s, 0.09 H, H<sub>5</sub> of 12a). <sup>13</sup>C NMR (CF<sub>3</sub>CO<sub>2</sub>D, 164.0 ppm; 90 MHz; 12b only):  $\delta 24.90$  (C<sub>2</sub>), 32.57 (C<sub>1</sub>), 59.55 (C<sub>6</sub>), 68.30 (C<sub>3</sub>), 68.38 (C<sub>10b</sub>), 126.8, 130.3, 130.8 (aromatic 4° C), 131.5, 131.8, 132.1 (2 C), 132.2, 132.4 (2 C), 132.6, 137.6 (aromatic 4° C), 178.0 (C<sub>5</sub>). Reduction of this sample at 0 °C with | M BH<sub>3</sub>·THF (1 mL) yielded 6a and 6b in a 10:90 ratio (GLC).

An identical experiment was set up at 20 °C, and after | h, | M tris(trifluoroacetoxy)borane in trifluoroacetic acid (5 drops) was added as a catalyst to observe any effects. After 4 h at 20 °C, the progress of the reaction was not changed by the added borane reagent ( $t_{1/2}$  about 180 min), and 11 and 12 were formed in a 25:75 ratio.

<sup>1</sup>H NMR Study of 5a in Trifluoroacetic Acid. Amino alcohol 5a (44 mg, 0.16 mmol) was dissolved in CF<sub>3</sub>CO<sub>2</sub>H (1 mL) at -5 °C and the NMR tube was placed in the spectrometer (360 MHz) at 0 °C. The initial spectrum at 0 °C (30 min after mixing) showed that rapid rearrangement of 11 to 12 had already occurred; the ratio of 11 to 12 was ca. 25:75. After 1.5 h, only iminium salts 12a and 12b remained, present in a 7:93 ratio. Pertinent <sup>1</sup>H NMR signals:  $\delta$  4.87 (m, H<sub>6</sub> and H<sub>10b</sub>), 6.64 (d, J = 7.6 Hz, H<sub>7</sub> of 12b), 8.69 (s, 0.93 H, H<sub>5</sub> of 12b), 8.83 (s, 0.07 H, H<sub>5</sub> of 12a). Reaction of 5a in CF<sub>3</sub>CO<sub>2</sub>D was slower (see rate studies), such that there was a 50:50 mixture of 11 and 12 after 1 h at 10 °C.

Preparation and Reductive Deoxygenation of 5a DCl. Amino alcohol 5a (30 mg, 0.11 mmol) in CD<sub>3</sub>OD (0.50 mL, 99.5% D) was treated with 20% DCl in D<sub>2</sub>O until the solution became acidic. The solution was evaporated with a stream of argon to give a solid, which was dissolved in CF<sub>3</sub>CO<sub>2</sub>H (2 mL) at 23 °C under argon. Following the general procedure, 1 M BH<sub>3</sub> THF (0.70 mL, 0.70 mmol) was added and the reaction was worked up to give partially deuterated 6a and 6b (30 mg) in a 13:87 ratio (GLC); <sup>1</sup>H NMR (90 MHz) showed mostly 6b (ca. 90%) and about 70% of a proton at H<sub>6</sub> ( $\delta$  4.10-4.40).

<sup>1</sup>H NMR Rate Studies with 5a. General Procedure. Amino alcohol 5a or an acid-addition salt thereof (ca. 40 mg) was dissolved in CF<sub>3</sub>CO<sub>2</sub>D (1 mL) at an appropriate temperature and followed by <sup>1</sup>H NMR (360 MHz) with time. Data points were generally recorded at 10-min intervals. Integral values of specific protons in the enammonium salt at  $\delta$  3.70 (m, H<sub>3e</sub>) or 6.25 (s, H<sub>5</sub>) were compared to values of the iminium salt at  $\delta$  3.30 (m, H<sub>2e</sub>), 7.00 (d, H<sub>7</sub>), or 9.10 (s, H<sub>5</sub>) to determine their ratio. For H<sub>5</sub> integral areas normalization to 100% was employed.<sup>19b</sup> The data

<sup>(38)</sup> Seebach, D. Angew. Chem., Int. Ed. Engl. 1988, 27, 1624. Also, see: Polt, R.; Seebach, D. J. Am. Chem. Soc. 1989, 111, 2622.

were subjected to linear least-squares analysis (log % 11b vs time) to obtain constants and the corresponding  $t_{1/2}$  values ( $r^2 > 0.99$  in all cases).

The HCl salt of **5a** was prepared from the free base in methylene chloride with ethereal HCl to give a white solid. This material was recrystallized from 2-propanol to afford a white powder, mp 227–228.5 °C. <sup>1</sup>H NMR (400 MHz, Me<sub>2</sub>SO-d<sub>6</sub>) showed an ca. 55:45 mixture of two forms:  $\delta$  1.80–2.90 (m. 4 H), 3.10–3.90 (m, 4 H), 4.78 (m, 0.58 H, H<sub>10b</sub>). 4.91 (m, 0.42 H, H<sub>10b</sub>), 6.58 (s, OH), 6.61 (s, OH), 6.92 (d, J = 7.5 Hz, H<sub>7</sub>), 7.03 (d, J = 7.6 Hz, H<sub>7</sub>), 7.20–7.45 (m, 8 H, aromatic), 11.05 (br s, 0.55 H, NH), 11.44 (br s, 0.45 H, NH). Anal. Calcd for C<sub>18</sub>H<sub>19</sub>NO·HCl: C, 71.63; H, 6.68; N, 4.64. Found: C, 71.54; H, 6.74; N, 4.62.

Generation and Isolation of Enamine 14. All operations here were conducted under an atmosphere of argon to minimize oxidation of this highly unstable intermediate. Amino alcohol salt 5a HBr (200 mg, 0.58 mmol) was combined with polyphosphoric acid (4.0 g) and the mixture was heated on a steam bath for 15 min. The reaction was cooled, diluted with water, basified with 3 N NaOH, and extracted with methylene chloride. The organic solution was washed with brine, dried ( $K_2CO_3$ ), and concentrated to afford an air-sensitive, dark green oil, 14 (100 mg, 70%). <sup>1</sup>H NMR (90 MHz):  $\delta$  1.80–2.40 (m, 4 H, H<sub>1</sub> and H<sub>2</sub>), 3.0–3.5 nm, 2 H, H<sub>3</sub>), 4.36 (dd, 1 H, J = 6, 8 Hz, H<sub>10b</sub>), 6.40 (s, 1 H, H<sub>5</sub>), 6.9–7.45 (m, 9 H).

**Reduction of 14.** Enamine **14** (50 mg, 0.20 mmol) was dissolved in  $CF_3CO_2H$  (2 mL) at 5 °C, stirred 5 min, and treated with | M BH<sub>3</sub>. THF (0.50 mL, 0.50 mmol). After 15 min, the reaction was worked up in the usual way to give amines **6a** and **6b** (40 mg, 80%) in a |2:88 ratio (GLC); <sup>1</sup>H NMR (90 MHz) verified this ratio:  $\delta$  4.10 (dd, 0.88 H, H<sub>6</sub> of **6b**) and 4.40 (dd. 0.12 H, H<sub>6</sub> of **6a**).

<sup>1</sup>H NMR Study of 14 in Trifluoroacetic Acid-d. Enamine 14 (12 mg, 0.048 mmol) under argon at 5 °C was combined with  $CF_3CO_2D$  (1 mL) at 5 °C, transferred to an argon-purged NMR tube at 0 °C, and monitored by <sup>1</sup>H NMR (360 MHz) at 0 °C. At 5 min the spectrum showed 11 and 12 in a 5:2 ratio and the enammonium salts were highly biased to the cis conformation with a 11a to 11b ratio of 6:94 [ $\delta$  6.60 and 6.15 (pair of s, H<sub>3</sub>)]. The enammonium salts disappeared with time (half-life for rearrangement of ca. 95 min) until only 1% remained after 20 h. Along with the trace of 11 were iminium salts 12a and 12b, present in a ratio of 3:97 [ $\delta$  8.95 and 9.10 (pair of s, H<sub>3</sub>)].

<sup>1</sup>H NMR Study of the Reaction of 14 with HCl. Enamine 14 (ca. 14 mg, 0.058 mmol) in CDCl<sub>3</sub> (1 mL) under argon was combined with 1 N HCl (0.058 mmol) and the mixture was shaken vigorously for 1 min at room temperature. The CDCl<sub>3</sub> layer was separated from the aqueous layer under argon. The <sup>1</sup>H NMR (360 MHz) showed a 1:3 mixture of isoquinolinium salt **20**<sup>39</sup> and enammonium salt **11b** (little if any **11a**). **20**:  $\delta$  2.78 (m, H<sub>2</sub>), 4.08 (dd, J = 7.8, 7.8 Hz, H<sub>1</sub>), 5.41 (dd, J = 7.7, 7.8 Hz, H<sub>3</sub>), 7.0-7.5 (m. aromatic), 7.90 and 7.98 (dd, H<sub>8</sub> and H<sub>9</sub>), 8.07 (d, J = 8.0 Hz, H<sub>7</sub>), 8.28 (d, J = 8.2 Hz, H<sub>10</sub>), 8.65 (s, H<sub>5</sub>). 11b:  $\delta$  1.99/ 2.18/2.43 (m, H<sub>1</sub> and H<sub>2</sub>), 3.28 (m, H<sub>3a</sub>), 3.46 (m, H<sub>3c</sub>), 4.42 (dd, J = 5.9, 10 Hz, H<sub>10b</sub>), 6.48 (s. H<sub>5</sub>), 7.0–7.4 (m, aromatic). At room temperature, this ratio changed only slightly with time, with a few percent more 20 forming over 2.5 h. However, when CF<sub>3</sub>CO<sub>2</sub>D (0.50 mmol, ca. 40  $\mu$ L) was added to the NMR tube, an immediate reaction took place (color change from dark green to light yellow) and the <sup>1</sup>H NMR spectrum showed 20 and iminium salts 12a and 12b in a 30:70 ratio (measured by the H<sub>5</sub> singlets at  $\delta$  8.93 and 9.20). (The loss of stereoselectivity may be due to the CDCl<sub>3</sub> solvent.) In a separate experiment, catalytic amounts of CF<sub>3</sub>CO<sub>2</sub>D (0.10 molar equiv and 0.50 molar equiv) were added to a CDCl, solution of 20 and 11b at 0 °C; however, only rapid formation of the isoquinolinium salt 20 was recorded in this case.

5.5-Dideuterio-1,2,3,5,6,10b-hexahydro-6-phenylpyrrolo[2,1-*a*]isoquinolin-6-ol Hydrobromide (15a). 1,2,3,5,6,10b-Hexahydro-5-oxo-6phenylpyrrolo[2,1-*a*]isoquinolin-6-ol<sup>11a</sup> (an isomeric mixture; 2.0 g, 7.2 mmol) in dry THF (25 mL) was added slowly to 1 M BD<sub>3</sub>·THF (30 mL, 30 mmol) at 5 °C under argon and the mixture was refluxed for 2 h. The solution was cooled with an ice bath, methanol (30 mL) was added slowly, and the reaction was refluxed for 20 min. After cooling, the solution was concentrated to a yellow oil, which was dissolved in 2propanol (15 mL) and treated with 48% HBr (1.3 g, 7.7 mmol) to afford

<sup>(39)</sup> Interestingly, treatment of **20** with aqueous NaOH provided dienamine iii [90-MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  2.70 (t, 2, H<sub>3</sub>), 3.93 (apparent br t, 2, H<sub>2</sub>), 6.38 (br s, 1 H<sub>1</sub>), 6.9–7.5 (m, 10, s for H<sub>5</sub> at  $\delta$  7.12)], as an unstable oil.



**15** HBr (0.35 g), mp 201–203 °C. <sup>1</sup>H NMR (90 MHz) of this solid showed a 4:1 mixture of **15a** to **15b**:  $\delta$  1.70–2.50 (m, aliphatic, 5 H), 2.60–2.90 (m, 0.4 H, H<sub>3</sub> of **15b**), 3.0–3.35 (m, 1.6 H, H<sub>3</sub> of **15a** and H<sub>10b</sub>), 3.70 (dd, J = 7.5, 7.5 Hz, H<sub>10b</sub>, 0.20 H, **15b**), 6.8–7.5 (m, 9 H).

**Reductive Deoxygenation of 15a**·HBr. Amino alcohol salt **15a**·HBr (50 mg, 0.14 mmol) was added to  $CF_3CO_2H$  (2 mL), treated with 1 M BH<sub>3</sub>·THF (0.50 mL, 0.50 mmol), and worked up following the general procedure to give **16a** and **16b** (30 mg, 83%) in a ratio of 1684 (GLC). <sup>1</sup>H NMR (90 MHz) showed nearly a full proton for combined H<sub>6</sub>:  $\delta$  1.6-3.0 (m, 7 H, aliphatic), 3.30–3.60 (m, 1 H, H<sub>10b</sub>), 4.13 (d, J = 4.5 Hz, 0.75 H, H<sub>6</sub> of **16b**), 4.33 (d, J = 6 Hz, 0.15 H, H<sub>6</sub> of **16a**), 7.4-6.8 (m, 9 H).

<sup>2</sup>H NMR Study of 15a HBr in Trifluoroacetic Acid-d. Amino alcohol salt 15a HBr (44 mg, 0.13 mmol) was combined with  $CF_3CO_2D$  (1 mL) in an NMR tube at -5 °C and the sample was placed in the spectrometer (55.3 MHz for <sup>2</sup>H) at 0 °C. The initial <sup>1</sup>H NMR showed mostly enammonium salt. <sup>2</sup>H NMR:  $\delta$  6.60 (br s, D<sub>5</sub>) and 9.50 (br s, ND). The temperature was raised to 10 °C, and after 2 h, about 20% of iminium salt was present (broad singlets at  $\delta$  5.30 (D<sub>6</sub>) and 9.20 (D<sub>5</sub>)). After 16 h at 20 °C, essentially no enammonium salt remained; there was an ca. 5:4 ratio of D<sub>5</sub> to D<sub>6</sub> at  $\delta$  9.30 (br s) and 5.50 (br s), indicative of some proton at H<sub>6</sub>.

Attempted Isolation of 12b Salts. A. Iodide. Amino alcohol 5a HBr (50 mg, 0.14 mmol) was dissolved in trifluoroacetic acid (2 mL) and the solution was stirred for 1 h at room temperature under argon. <sup>1</sup>H NMR (90 MHz) showed only iminium salts 12. The solvent was evaporated under a stream of argon to an give an oil which was redissolved in glacial acetic acid (6 mL). Addition of 47% hydriodic acid gave copper-colored crystals, which were found to be isoquinolinium salt 20 (periodide salt). CI-MS (CH<sub>4</sub>): m/z 246 (MH<sup>+</sup>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>/CD<sub>3</sub>OD):  $\delta$  2.89 (m, 2 H, H<sub>2</sub>), 4.20 (t, J = 7.8 Hz, 2 H, H<sub>1</sub>), 5.21 (t, J = 7.8 Hz, 2 H, H<sub>3</sub>), 7.62 (s, 5 H, aromatic), 8.10 (m, 3 H, H<sub>7</sub>/H<sub>8</sub>/H<sub>9</sub>), 8.39 (s, 1 H, H<sub>5</sub>), 8.44 (d, J = 8.2 Hz, 1 H, H<sub>10</sub>). Anal. (C<sub>18</sub>H<sub>16</sub>N·l<sub>3</sub>) C, H, N, I.

B. Perchlorate. In an identical manner, 12 was prepared and 70% perchloric acid (48 mg, 0.34 mmol) was added to the trifluoroacetic acid solution, followed by ether until the cloud point. The white solid that slowly crystallized was a mixture of 12a and 12b (43 mg, 81%). Cl-MS (CH<sub>4</sub>): m/z 248 (MH<sup>+</sup>). <sup>1</sup>H NMR (see Figure 2) showed a 35:65 ratio of these salts. <sup>1</sup>H NMR (CF<sub>3</sub>CO<sub>2</sub>D, 400 MHz) showed chemical shifts nearly identical to those from our <sup>1</sup>H NMR studies:  $\delta$  3.20 (m, H<sub>1e</sub>, 12b), 5.10 (m, H<sub>6</sub> and H<sub>10b</sub> of 12b, H<sub>10b</sub> of 12a), 6.84 (d, J = 7.8 Hz, H<sub>7</sub> of 12b), 8.87 (br s, H<sub>5</sub> of 12b), 9.05 (br s, H<sub>5</sub> of 12a). Reduction of this mixture (3 mg) in ethanol with excess sodium borohydride (10 mg) gave after workup (1 N HCl, followed by 3 N NaOH and extraction into methylene chloride) a mixture of amines 6a and 6b in a 37:63 ratio (GLC). Anal. (C<sub>18</sub>H<sub>18</sub>N·ClO<sub>4</sub>) C, H, N.

1,2,3,5,6,10ba-Hexahydro-6\beta-hydroxy-4\beta- and 4a-methyl-6aphenylpyrrolo[2,1-a lisoquinolinium Iodide (22a and 22b). Methyl iodide (0.30 g, 2.1 mmol) and 5a (150 mg, 0.56 mmol) in acetonitrile (6 mL) were stirred (stoppered) at room temperature for 2 h. The solvent was evaporated to furnish a white solid (230 mg), a mixture of 22a and 22b in a 28:72 ratio by <sup>1</sup>H NMR (400 MHz):  $\delta$  2.0/2.57/2.87 (m, H<sub>1</sub> and H<sub>2</sub> of 22a and 22b), 7.10-7.50 (m, aromatic). 22a: δ 3.05 (s, CH<sub>3</sub>), 4.20 (m, H<sub>3a</sub>), 4.47 (d, J = 13.5 Hz, H<sub>5a</sub>), 4.70 (m, H<sub>3e</sub>), 5.15 (d, J = 13.5 Hz, H<sub>5e</sub>), 5.50 (dd, H<sub>10b</sub>). **22b**:  $\delta$  3.27 (s, CH<sub>3</sub>), 3.92 (m, H<sub>3a</sub>), 4.31 (s, OH), 4.20 (d,  $J = |3.8 \text{ Hz}, \text{H}_{5a}$ ), 4.66 (d,  $J = |4.1 \text{ Hz}, \text{H}_{5e}$ ), 4.70 (m,  $H_{3e}$ ), 5.37 (dd, J = 6.5, 7.3 Hz,  $H_{10b}$ ). The mixture was recrystallized from ethanol to give white needles highly enriched in 22b (100 mg). <sup>1</sup>H NMR (400 MHz): δ 2.29 (m, 1 H, H<sub>1</sub>), 2.40 (m, 1 H, H<sub>1</sub>), 2.59 (m, 1 H, H<sub>2</sub>), 2.95 (m, 1 H, H<sub>2</sub>), 3.40 (s, CH<sub>3</sub>), 4.05 (m, 1 H, H<sub>3a</sub>), 4.08 (d,  $J = [3.7 \text{ Hz}, | \text{ H}, \text{H}_{\text{5a}}), 4.63 \text{ (d}, J = [3.7 \text{ Hz}, | \text{H}, \text{H}_{\text{5e}}), 4.78 \text{ (m, | H, H, H)}$  $H_{3e}$ ), 5.53 (dd, 1 H,  $H_{10b}$ , characteristic of a cis A conformation), 7.14 (d, | H, J = 7.6 Hz, H<sub>7</sub>), 7.2-7.5 (m, 8 H, aromatic). Slow recrystallization of this material from methanol/2-propanol (1:2) gave long nee-dles of **22b** suitable for X-ray analysis (mp 248–250 °C).<sup>20</sup> Anal. Calcd for C19H221NO: C, 56.03; H, 5.44; N, 3.44. Found: C, 56.06; H, 5.46; N. 3.40

Reaction of 22a and 22b with Trifluoroacetic Acid-d. The mixture of 22a and 22b (27:73; 27 mg) was dissolved in CF<sub>3</sub>CO<sub>2</sub>D (1 mL) and examined by <sup>1</sup>H NMR (360 MHz). The spectrum showed immediate loss of water to give a mixture of enammonium salts 23a and 23b in a ratio of 30:70 with the following characteristic peaks: 23a (minor)  $\delta$  2.94 (s, CH<sub>3</sub>), 5.10 (dd, J = 8, 11 Hz, H<sub>10b</sub>), 6.85 (s, H<sub>5</sub>); 23b (major)  $\delta$  3.35 (s, CH<sub>3</sub>), 4.17 (dd, H<sub>3e</sub>), 4.82 (dd, J = 8 Hz, H<sub>10b</sub>), 6.12 (s, H<sub>5</sub>).

**Reaction of 22b** with Trifluoroacetic Acid-d. Methiodide 22b (2-3 mg) was dissolved in trifluoroacetic acid-d (1 mL) and placed in the <sup>1</sup>H NMR probe (360 MHz) at 20 °C. The initial spectrum showed about 25% of the starting methiodide, but after 1 h, the dehydration was complete and only 23b was formed:  $\delta$  2.33 (m, 2 H, H<sub>1</sub>), 2.54 (m, 1 H, H<sub>2</sub>),

2.84 (m, | H, H<sub>2</sub>), 3.35 (s, CH<sub>3</sub>), 3.94 (m, | H, H<sub>3e</sub>), 4.15 (m, | H, H<sub>3e</sub>), 4.77 (dd, J = 9.5 Hz, | H, H<sub>10b</sub>), 6.10 (s, H<sub>5</sub>), 7.30-7.65 (m, aromatic).

cis- and trans-1,2,3,5,6,10b-Hexahydro-6-[(4-methylthio)phenyl]pyrrolo[2,1-a ]isoquinoline Hydrochloride (7a/7b·HCl). Sodium borohydride powder (52.6 g, 1.38 mol) was added to a solution of crude  $36a/36b^{14b}$  (100 g, 0.3 mol) in dry THF (0.5 L). The slurry was brought to reflux for | h and a solution of trifluoroacetic acid (157 g, 1.38 mol) in THF (350 mL) was added dropwise over 2.5 h. The mixture was refluxed for 4 h, cooled to room temperature, and slowly diluted with distilled water (500 mL). The two-phase solution was refluxed for | h, cooled to room temperature, made basic (pH 12) with 1 N NaOH, and extracted with methylene chloride ( $2 \times 500$  mL). The combined extracts were washed with water, dried (MgSO<sub>4</sub>), and concentrated to yield 7a/7b, as a dark red oil. Crystallization from 2-propanol (400 mL) containing concentrated HCl (25 mL) afforded 84.0 g (75% overall from 35a/35b<sup>14b</sup>) of 7a/7b·HCl, as a slight yellow solid, mp 226-228 °C. <sup>1</sup>H and <sup>13</sup>C NMR analysis of the free base revealed a 75:25 mixture of **7a/7b**. <sup>1</sup>H NMR (360 MHz):  $\delta$  1.56–2.00 (m, 2H<sub>2</sub>/H<sub>1</sub>), 2.28–2.42 (m,  $H_1$  and  $H_3$ ). 2.46 and 2.47 (s, CH<sub>3</sub> of 7a/7b), 2.65 and 3.02 (AB q,  $J_{AB}$ = 11.4 Hz, H<sub>5</sub> of 7b), 2.72 and 3.24 (AB q,  $J_{AB}$  = 11.6 Hz, H<sub>5</sub> of 7a), 2.78–2.89 (m, 2 H, 7b), 3.07–3.37 (m, 2 H), 3.92–4.36 (s, 1 H, OH), 6.96–6.98 (m, 1 H), 7.09–7.14 (m, 2 H), 7.17–7.24 (m, 3 H). <sup>13</sup>C NMR (90 MHz):  $\delta$  15.85 (CH<sub>3</sub>, 7a/7b), 21.49 (CH<sub>2</sub>, 7a), 22.73 (CH<sub>2</sub>, 7b), 29.31 (CH<sub>2</sub>, 7a), 29.92 (CH<sub>2</sub>, 7b), 53.14 (CH<sub>2</sub>, 7a), 54.35 (CH<sub>2</sub>, 7b), 61.98 (CH<sub>2</sub>, **7b**), 62.41 (CH, **7b**), 65.37 (CH, **7a**), 65.98 (CH<sub>2</sub>, **7a**), 73.74 (COH, **7a**), 74.94 (COH, **7a**), 124.67 (CH, **7a**), 126.08 (CH, **7a**), 126.42 (CH, 7a), 74.74 (COH, 7a), 124.07 (CH, 7a), 120.08 (CH, 7a), 126.42 (CH, 7b), 126.52 (CH, 7b), 126.78 (CH, 7a), 127.03 (CH, 7b), 127.10 (CH, 7a/7b), 127.31 (CH, 7b), 127.37 (CH, 7a), 128.53 (CH, 7b), 129.31 (CH, 7a), 136.52 (C<sub>q</sub>, 7b), 136.66 (C<sub>q</sub>, 7a), 137.79 (c<sub>q</sub>, 7b), 138.81 (C<sub>q</sub>, 7a), 139.80 (C<sub>q</sub>, 7b), 140.98 (C<sub>q</sub>, 7a), 141.99 (C<sub>q</sub>, 7a), 142.79 (C<sub>q</sub>, 7b); Cl-MS (CH<sub>4</sub>) m/z 340 (M<sup>+</sup> 29), 312 (MH<sup>+</sup>), 294 (MH<sup>+</sup> – 18), 188. Anal. (C<sub>19</sub>H<sub>21</sub>NOS·HCI) C, H, Cl, N, S. We prepared smalls as males of nurse disstratements 7a and 7b (cas

We prepared small samples of pure diastereomers 7a and 7b (noncrystalline) by reduction of each hydroxy lactam, 36a and 36b, with borane-THF (followed by workup with methanol).<sup>11a</sup>

Reductive Deoxygenation of 7a and 7b. Following the general procedure described for  $5a \cdot HBr$ ,  $7a/7b \cdot HC1$  (75:25), 7a, and 7b were independently reduced with 1 M BH<sub>3</sub> · THF; results are presented in Table 1 (GLC, <sup>1</sup>H NMR).

**Preparation of 7a**/7b·HBr. Free base 7a/7b (ca. 1.8 g; enriched in 7a) was dissolved in methylene chloride (50 mL) and 2-propanol (5 mL). Hydrobromic acid (47%) was added with stirring until the solution was acidic. Upon stirring and scratching of the flask walls, a precipitate of 7a/7b·HBr was obtained (1.82 g), as a white solid: mp 185.0–186.5 °C; <sup>1</sup>H NMR analysis revealed a >99:1 ratio of 7a/7b. Anal. (C<sub>19</sub>H<sub>21</sub>NO-S·HBr) C, H, Br, N, S.

**Preparation of 7a/7b**·HClO<sub>4</sub>. Free base **7a/7b** (ca. 0.9 g; enriched in **7a**) was dissolved in ethyl ether (50 mL) and 70% perchloric acid (ca. 0.5 mL) in 2-propanol (2 mL) was added with stirring. A precipitate formed immediately and then became an oil. The oil crystallized on stirring to afford **7a/7b**·HClO<sub>4</sub> (0.7 g) as a white solid: mp 169.5–171 °C; <sup>1</sup>H NMR analysis revealed a 91:9 ratio of **7a/7b**. Anal. (C<sub>19</sub>H<sub>21</sub>-NOS·HClO<sub>4</sub>) C, H, Cl, N, S.

Preparation of  $7a/7b \cdot CF_3CO_2H$ . Free base 7a/7b (ca. 0.6 g; enriched in 7a) was dissolved in ethyl ether (20 mL) and a solution of trifluoroacetic acid in ethyl ether (50:50) was added with stirring until solution was acidic. A precipitate formed immediately and then turned to an oil. The oil crystallized on stirring to afford  $7a/7b \cdot CF_3CO_2H$  (0.56 g) as a white solid: mp 124.5-126 °C; <sup>1</sup>H NMR analysis revealed a >99:1 ratio of 7a/7b. Anal. ( $C_{19}H_{21}NOS \cdot CF_3CO_2H$ ) C, H, F, N, S.

Large-Scale Preparation of 4b-HClO<sub>4</sub>.<sup>13</sup> Unrecrystallized 7a/7b-HCl (100 g, 0.29 mol) was added portionwise to a stirring solution of trifluoroacetic acid (640 mL) at -10 °C. The reaction mixture immediately turned purple and this color slowly disappeared over 1 h. While maintaining -5 °C ( $\pm$ 5 °C), 500 mL of 0.9 M BH<sub>3</sub>·THF (0.45 mol) was added slowly over 1.5 h. The reaction was warmed slowly to room temperature (1.5 h), diluted with water (500 mL), stirred overnight, poured into CH<sub>2</sub>Cl<sub>2</sub> (1 L), and washed with water (2 × 1 L) and then 3 N NaOH (2 × 1 L). The organic layer was dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated to yield a light brown residue, which was dissolved in 2propanol (1 L), filtered through a pad of Celite, and treated with 70% perchloric acid (41 g, 0.29 mol) with stirring. After 1 h, the resultant slurry was cooled in an ice bath and filtered, and the filter cake was washed with cold 2-propanol to afford 104.5 g (92%) of 4a/4b as an off-white solid: mp 199-201 °C. <sup>1</sup>H NMR and GLC analysis showed an 8:92 ratio of 4a/4b.

**Enantiospecific Synthesis of (6S, 10bR)**-(+)-4b.<sup>13</sup> The synthesis was carried out predominantly by using the procedures described for racemic material,<sup>14b</sup> but starting with enantiomerically enriched 2-phenyl-pyrrolidine R-(+)-32 (98% ee).<sup>12c,32</sup> The following modification to the

hydroxylation step<sup>14b</sup> was instituted to prevent racemization in the hydroxylation of 35a/35b (see Results and Discussion). Crude 35a/35b (4.1 g, 13.26 mmol) and 40% NaOH (1.28 g, 12.85 mmol) were added to a solution of DMSO (25 mL). With stirring, oxygen was passed through the solution at such a rate to allow the reaction to exotherm only to ca. 40 °C. After just 20 min, TLC indicated the absence of starting material. The sparging was stopped; the reaction was cooled to room temperature and poured into a 50:50 mixture of CH<sub>2</sub>Cl<sub>2</sub> and water (50 mL). Sodium bisulfite (6 g) was added, and the mixture was stirred for 1 h. The layers were separated, and the aqueous layer was reextracted. The combined organic layer was washed with water (four times), dried (MgSO<sub>4</sub>), and concentrated to give 4.22 g (98%) of hydroxy lactams 36a/36b, as an orange foam. We subjected this material, without purification, to the sodium borohydride reduction and the reductive deoxygenation to obtain crude (6S,10bR)-(+)-4b. 360-MHz <sup>1</sup>H NMR analysis of the Mosher's acid salt (1.5 equiv of (R)-(+)- $\alpha$ -methoxy- $\alpha$ -(trifluoromethyl)phenylacetic acid,  $C_6D_6$ )<sup>12c,34</sup> revealed an enantiometric purity of >95%.

Attempted Isolation of 18a/18b Perchlorate. At room temperature under argon, 7a/7b HCl (ca. 1.0 g) was added to trifluoroacetic acid (5 mL) with stirring. After 10 min, a solution of 70% perchloric acid in 2-propanol (5 mL, 1:1) was added. The mixture was quickly poured into dry ethyl ether (100 mL) and a solid slowly formed. <sup>1</sup>H NMR analysis showed no iminium (17) or enammonium (18) species. Recrystallization of a small sample from hot 2-propanol/ethyl acetate afforded a white solid, which was identified as isoquinolinium salt 21, mp 128–131 °C. <sup>1</sup>H NMR (400 MHz):  $\delta$  2.54 (s, 3 H), 2.69–2.73 (m, 2 H), 4.02 (t, 2 H, J = 7.81 Hz), 5.11 (t, 2 H, J = 7.70 Hz), 7.36–7.47 (m, 4 H), 7.59–7.98 (m, 1 H), 8.04–8.10 (m, 2 H), 8.32–8.34 (m, 1 H), 8.34 (s, I H). <sup>13</sup>C NMR (100 MHz):  $\delta$  14.92 (CH<sub>3</sub>), 20.50 (CH<sub>2</sub>), 31.85 (CH<sub>2</sub>), 59.81 (CH<sub>2</sub>), 124.85 (C<sub>q</sub>), 126.05 (CH), 126.20 (CH), 128.45 (CH), 129.00 (C<sub>q</sub>), 129.60 (CH), 129.75 (CH), 130.10 (CH), 130.85 (CH), 135.95 (CH), 136.15 (C<sub>q</sub>), 137.55 (C<sub>q</sub>), 141.00 (C<sub>q</sub>), 160.25 (C<sub>q</sub>). FAB-MS: m/z 292 (MH<sup>+</sup>), 277, 217, 180, 131, 109, 91.

NMR Rate Studies with 7a/7b. General Procedure. NMR experiments were carried out on a Bruker AM-360 spectrometer equipped with a 9-mm proton probe. The <sup>1</sup>H NMR tube was charged with approximately 40 mg of the salt or free base and cooled to 0-10 °C in an ice bath. Cold (0-10 °C) deuterated trifluoroacetic acid (0.5-0.7 mL), containing Me<sub>4</sub>Si as internal standard, was added to the tube, which was quickly placed in the precooled (10 °C) NMR probe. The <sup>1</sup>H NMR spectra were recorded and integrated at regular, specified time intervals. To determine the percentage of both the enammonium and iminium salts (17 and 18) throughout the course of the reaction, the vinyl proton signals (H5; singlets at 6.17 and 8.92 ppm, respectively) were integrated and normalized to 100%.<sup>19b</sup> The log of the normalized percentage of enammonium salt (17b) was plotted vs time (see Figure 3) and the rate constant (k) was determined by using the slope of the straight line determined by least-squares analysis ( $r^2 > 0.99$  in all cases). The half-lives were calculated from the rate constants (see Table 111). NMR analysis revealed the rapid dehydration of 7a/7b to afford the major enammonium salt 17b: <sup>1</sup>H NMR (CF<sub>3</sub>CO<sub>2</sub>D, 360 MHz) δ 2.18-2.37 (m, | H), 2.43-2.48 (m, 1 H), 2.51-2.61 (m, 1 H), 2.58 (s, 3 H, CH<sub>3</sub>), 2.66-2.78 (m, 1 H), 3.68-3.74 (m, 1 H), 4.14-4.23 (m, 1 H), 5.19 (t, J = 7.60 Hz, t)| H, H<sub>10b</sub>), 6.17 (s, 1 H, vinyl), 6.63 (s, 1 H, vinyl or 17a), 7.27-7.56 (m, 8 H). The fleeting nature of the enammonium salt prevented the <sup>13</sup>C NMR carbon multiplicities from being determined for 17b.  $(CF_3CO_2D, 90 \text{ MHz}): \delta 15.99, 23.62, 31.69, 59.26, 67.09, 120.13,$ 128.37, 128.72, 129.97, 131.36, 132.80, 133.71, 141.89, 143.15

With time, **17b** rearranged cleanly to the major iminium salt **18b**: <sup>1</sup>H NMR (CF<sub>3</sub>CO<sub>2</sub>D, 360 MHz)  $\delta$  2.24–2.62 (m, 3 H), 2.57 (s, 3 H, CH<sub>3</sub>), 3.20–3.85 (m, 1 H), 4.19–4.45 (m, 1 H), 5.14 (br s, 1 H, H<sub>10b</sub>), 6.87 (d, J = 7.77 Hz, 1 H, H<sub>7</sub>), 7.25–7.53 (m, 7 H), 8.92 (s, 1 H, H<sub>5</sub>). <sup>13</sup>C NMR (CF<sub>3</sub>CO<sub>2</sub>D, 90 MHz):  $\delta$  16.54 (CH<sub>3</sub>), 24.94 (CH<sub>2</sub>), 32.71 (CH<sub>2</sub>), 525 (CH, C<sub>6</sub>-deuterated), 59.68 (CH<sub>2</sub>), 68.42 (CH, C<sub>10b</sub>), 127.00 (CH), 130.40 (CH), 130.50 (C<sub>0</sub>), 131.91 (CH), 132.11 (C<sub>0</sub>), 132.51 (CH), 132.65 (2 CH), 134.76 (C<sub>0</sub>), 142.47 (C<sub>0</sub>), 177.77 (CH, C<sub>5</sub>). Also observed as a minor component was **18a** (ca. 6%). After 4 days at room temperature, <sup>1</sup>H and <sup>13</sup>C NMR analysis showed 36% of the cis isomer **18a**. <sup>1</sup>H NMR (CF<sub>3</sub>CO<sub>2</sub>D, 360 MHz):  $\delta$  2.47–2.67 (m, 3 H), 2.49 (s, 3 H, CH<sub>3</sub>), 3.12–3.26 (m, 1 H), 4.34–4.49 (m, 1 H), 4.55–4.61 (m, 1 H), 5.08–5.21 (m, 1 H), 7.10 (d, 2 H, J = 8.4 Hz), 7.18–7.64 (m, 6 H), 9.07 (s, 1 H, H<sub>5</sub>). <sup>13</sup>C NMR (CF<sub>3</sub>CO<sub>2</sub>D, 90 MHz):  $\delta$  1.66 (CH<sub>3</sub>), 24.35 (CH<sub>2</sub>), 33.18 (CH<sub>2</sub>), 51.50 (CH, C<sub>6</sub>-deuterated), 59.73 (CH<sub>2</sub>), 67.60 (CH), 132.42 (C<sub>q</sub>), 132.61 (2 CH), 132.97 (CH), 133.24 (C<sub>q</sub>), 142.91 (C<sub>q</sub>), 174.43 (CH).

Single-Crystal X-ray Analysis of trans-1,2,3,5,6,10b-Hexahydro-6phenylpyrrolo[2,1-a]isoquinolin-6-ol (5a) Hydrobromide. Crystals of  $C_{18}H_{20}BrNO$  (mw 346.27, colorless rectangular parallelpipeds from methanol/2-propanol) are monoclinic (space group  $P2_1$ ) with a = 10.013(2) Å, b = 12.588 (3) Å, c = 12.981 (3) Å,  $\alpha = 90.00^{\circ}$ ,  $\beta = 102.75$  (1)°,  $\gamma = 90.00^{\circ}$ , V = 1596 (1) Å<sup>3</sup>, and  $d_{calod} = 1.442$  g cm<sup>-1</sup> for Z = 4. The intensity data were collected from a single crystal ( $0.30 \times 0.60 \times 0.62$ mm<sup>3</sup>) on a computer-controlled Four-Circle Nicolet Autodiffractometer with the  $\omega$  scan technique at 293 K to a scattering angle of  $3.0^{\circ} < 2\theta$ < 50.7° by using Mo K $\alpha$  radiation ( $\lambda = 0.71073$  Å; graphite monochromator). Of a total of 2914 independent reflections collected, 1956 intensities greater than  $3.0\sigma(I)$  were used. The structure was solved by the heavy-atom Patterson method with standard Lorentz and polarization corrections applied to the data; the hydrogen atoms were located. Structure refinement was accomplished by full-matrix least-squares methods with an anomalous dispersion correction for the bromine atom (anisotropically for nonhydrogen atoms and isotropically for hydrogen atoms). Specifically, hydrogen atoms  $H_0$  and  $H_{N4}$  were located from a difference Fourier synthesis and refined as independent isotropic atoms. The remaining hydrogen atoms were included in the structure factor calculations as idealized atoms. The final discrepancy factors were  $R_1 = \sum ||F_0| - |F_c|| / \sum |F_0| = 0.046$  and  $R_2 = \{\sum w(|F_0| - F_c|)^2 / \sum w|F_0|^2\}^{1/2} = 0.045$ . Besides the obvious features depicted in Figure 1, the molecular structure also has hydrogen bonds from the bromine atom to  $O-H_0$  (2.70 Å) and to N4-H<sub>N4</sub> (2.43 Å), such that an O-H...Br...H-N bridge exists across the beta face of the tricyclic system. Details for this atomic arrangement are given in the microfilm supplement.<sup>14b</sup>

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Supplementary Material Available: Detailed data for the X-ray analysis of 5a HBr, including tables of bond lengths, bond angles, thermal and positional parameters, and atom contacts; experimental procedures and data for 32, 34, 35a/b, 36a/b, and 37 (11 pages). Ordering information is given on any current masthead page.

## Dynamics of Hydride Transfer between NAD<sup>+</sup> Analogues<sup>1</sup>

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Abstract: Primary kinetic isotope effects (KIE) for hydride transfer between 10-methylacridan and 1-benzyl-3-cyanoquinolinium perchlorate have been measured in 15 different solvents. There is a reduction of the KIE from 5.2 to about 2.9 in the more viscous, nonhydroxylic solvents. Hydroxylic solvents give the larger KIE regardless of their viscosity. These results suggest a three-step process. In the first step, the heavy atoms and solvent are reorganized to a configuration intermediate between reactants and products, while the hydride retains its original attachment. In the second stage, the hydride is transferred, probably by tunneling. In the final step the products are stabilized by further solvent and heavy-atom reorganization. For nonhydroxylic solvents, translational and rotational diffusion governs the heavy-atom reorganization steps and, therefore, determines which step is rate-limiting. Only when the heavy-atom reorganizations are fast is the second step rate-limiting and the KIE maximized. The rate constant for the tunneling process is assumed to be solvent-independent. It is of the right order of magnitude to compete with solvent relaxation. Changes in rate constant, k, and equilibrium constant, K, are modest, but there is a linear correlation between  $\ln k$  and  $\ln K$ , with a slope of 0.87. This slope suggests that it is the third step, rather than the first, which shares rate-limiting character with the second. There is no visible trend toward a maximum isotope effect at K = 1.

The purpose of this paper is to suggest that hydride transfer between NAD<sup>+</sup> analogues,  $A_i^+$  and  $A_j^+$ , involves the prior achievement of a solvent configuration intermediate between that of  $A_i^+A_j^-$  and that of  $A_i^+A_j^+$ . This theory requires considerably reduced primary hydrogen isotope effects for slowly relaxing solvents, and this has now been observed for a number of viscous, nonhydroxylic liquids. All hydroxylic solvents appear to give about the same, maximal isotope effect. The equilibrium constant has also been measured, in each of the solvents. It is somewhat solvent-sensitive, and the rate constant is correlated with the equilibrium constant, but these variations, in themselves, do not seem to offer a reasonable explanation for the substantial changes in the isotope effect.

We have chosen to study the reaction shown in eq 1 because previous work<sup>2</sup> has shown that its rate and equilibrium constant can be measured with good precision by following the appearance of the 10-methylacridinium ion absorbance around 435 nm. At this wavelength none of the other reactants or products and none of our solvents has any measurable absorption. This reaction has an equilibrium constant, K, of 22.2 in a 4:1 mixture of 2-propanol and water.<sup>2</sup> In all the solvents of the present study K has been



large enough to ensure that a reaction mixture initiated with only reactants present, and one reactant in large excess, would go to at least 90% of completion with no more than a few percent of back-reaction, which would redistribute the isotopes. At the same time K has been small enough to be measured directly, by starting with the products, without isotopic substitution, measuring the rate of the reverse reaction, and making K consistent with the rate constant for the reverse reaction.<sup>3</sup> The reactants and products have the same charge type and are structurally similar, so that

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